

# **SNS COLLEGE OF PHARMACY AND HEALTH SCIENCES**

*Affiliated To The Tamil Nadu Dr. MGR Medical University, Chennai*

*Approved by Pharmacy Council of India, New Delhi.*

**Coimbatore -641035**

**COURSE NAME : COSMETIC SCIENCE- BP809ET**

**B.PHARM IV YEAR / VIII SEM**

**UNIT II**

**SUB TOPIC : PRINCIPLES OF FORMULATION AND BUILDING BLOCKS OF  
HAIR AND ORAL CARE PRODUCTS**

## ?? PRINCIPLES OF FORMULATION & BUILDING BLOCKS OF HAIR CARE AND ORAL CARE PRODUCTS

To understand **how formulation principles and biological building blocks** guide the design of **effective, safe, and consumer-acceptable hair and oral care products**.

# Introduction to Hair Care Products

Formulations to Cleanse, Condition, Protect & Treat Hair & Scalp



## Key Building Blocks



Surfactants  
for Cleansing



Conditioners  
for Smoothness



Preservatives  
for Stability



pH Adjusters  
(e.g. Citric Acid)

## Principles of Hair Care



Balance Cleansing & Natural Oils



Enhance Manageability



Reduce Irritation



Target Dandruff & Damage



## Natural Ingredients for Mildness & Efficacy



Coconut Oil



Aloe Vera



Argan Oil



Herbal Extracts

# Conditioning Shampoo

## Principles & Building Blocks



**Cleans & Moisturizes**




**pH Balanced**  
~5.5



**Smooths & Shines**

### Cleansing Ingredients

#### Surfactants



**Sodium Lauryl Sulfate**

Traps Oils & Dirt

#### Co-Surfactants



**Cocamidopropyl Betaine**

Gentle & Mild

### Conditioning Agents

#### Silicones



**Reduces Frizz & Adds Shine**

#### Polyquaternium-10



**Hydrates & Adds Fullness**

### How It Works



**Surfactants Remove Oil & Dirt**



**Conditioners Coat & Smooth Hair**



**Citric Acid**

pH Adjuster & antioxidant

**Sodium Lauroampho**

**Tear-Free Mild Cleansing**





# Hair Conditioner

## Principles & Building Blocks



Reduces Friction



Improves Manageability



Strengthens Keratin  
(Acidic pH ~3.5)

### Key Building Blocks

**Cationic Surfactants**  
(e.g. Behentrimonium Chloride)



**Polymers & Silicones**  
(e.g. Dimethicone)



**Moisturizers**



### How They Work

**Cationic Agents**

Smooth Barrier



**Acidic pH**

Compact Scales



**Lubricants**

Provides Slip



### Types of Conditioners

**Rinse-Out**

Deep Conditioning



**Leave-In**

Lightweight Protection



# Anti-Dandruff Shampoo:

## — Principles & Building Blocks —

Targets Fungal  
Overgrowth  
(Malassezia)



Reduces Flaking  
& Irritation

Medicated for Exfoliation & Antimicrobial Action

### — Key Ingredients —

#### — Antifungals —



Zinc Pyrithione



Ketoconazole

*Kills Fungi*

#### — Exfoliants —



Salicylic Acid



Selenium Sulfide

*Removes Dead Skin*

### — Shampoo Base —



Surfactants & Co-Surfactants + Preservatives

### — How They Work —

Inhibit Fungal  
Growth



Remove Dead  
Skin Cells



Leave On Scalp  
for Efficacy



**Coal Tar Derivatives**

*For Symptom Relief*





# Hair Oils:

## Principles & Building Blocks

### Principles:

- Mimic natural sebum to reduce water loss
- Prevent hygral stress & surfactant damage
- Better penetration with saturated & monounsaturated oils



### Key Building Blocks:

**Vegetable & Mineral Oils as Base**  
(e.g., Coconut, Argan)



### Nutrients:

- Vitamin E in Avocado Oil for Antioxidants & Growth



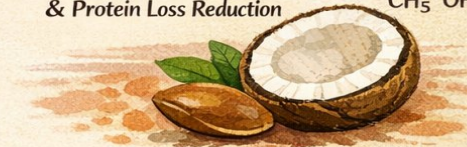
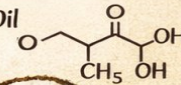
### Examples:

- Almond or Jojoba for Elasticity & Dryness Prevention



### Specific Ingredients:

- Lauric Acid in Coconut Oil for Shaft Penetration & Protein Loss Reduction



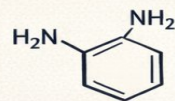
### How They Work:

- Form Hydrophobic Film to Lock in Moisture
- Applied Pre/Post-Wash for Conditioning & Frizz Relief



## Chemistry of Para-Phenyelene Diamine (PPD)

PPD ( $C_6H_4(NH_2)_2$ ): Aromatic compound used as colorless precursor in permanent dyes



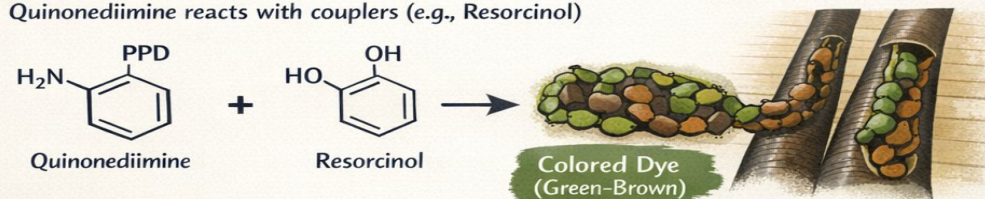
### Oxidation Reaction

Oxidizes to quinonediimine intermediate via  $H_2O_2$  + Base



### Coupling Reaction

Quinonediimine reacts with couplers (e.g., Resorcinol)



### Final Oxidation

Forms large, insoluble colored polymers trapped in hair cortex

**Role:** Provides base reactivity for shade variety; Lightens melanin during process

**Concerns:** Potential allergen; used in leuco form



## Formulation of PPD-Based Hair Dye

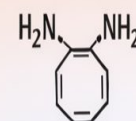
### Principles:

- Permanent coloring via oxidation & penetration into cortex
- Alkaline environment swells cuticles
- $\text{NH}_3$  (Ammonia)

### Key Building Blocks:

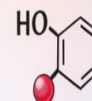
#### PPD

Primary Intermediate

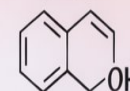


#### Couplers

3-Aminophenol  
(Magenta)



1-Naphthol  
(Purple)



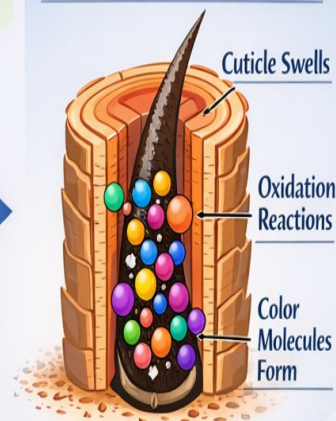
### Oxidant:

Hydrogen Peroxide  $\text{H}_2\text{O}_2$

Activation & Lift

### How It Works:

Mix Precursors with Developer



### Alkaline Agent:

Ammonia ( $\text{NH}_3$ )

pH & Melanin Oxidation

### Variations: Semi-Permanent

- Lower PPD
- No Strong Lightening

# Introduction to Oral Care Products

Oral care formulations focus on plaque removal, decay prevention, and targeted relief (e.g., sensitivity, whitening)

## Common Building Blocks



*Abrasives for Polishing*



*Fluorides for Remineralization*



*Surfactants for Foaming*

## Principles of Oral Care



- *Balance Efficacy with Safety*
- *< 250 RDA (Low Abrasivity)*
- *Adjunct to Brushing & Flossing*

## Targeted Ingredients



*Antibacterials for Gum Health*



*Desensitizers for Sensitivity Relief*

# Toothpaste for **Bleeding Gums**

## Principles:

- Reduces gingivitis & plaque to minimize inflammation/bleeding
- Antibacterial action inhibits biofilms



## Key Building Blocks:

**Stannous Fluoride (0.454%)**



**Up to 48%  
Less Bleeding**

**Silica or Calcium Carbonate**



Gentle Cleaning

**Zinc Chloride or Triclosan**

Antibacterial Action

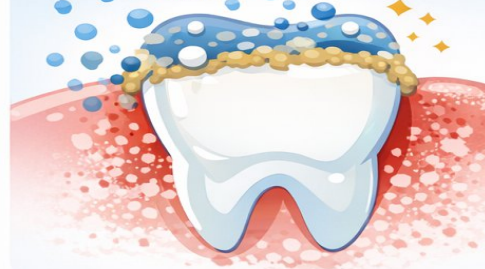


$ZnCl_2$



## How It Works:

**Stannous Ions Disrupt Plaque  
Biofilm**



**Fluoride Prevents Tooth Decay**



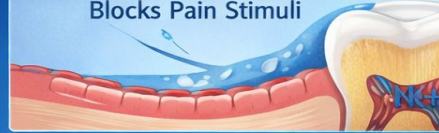
**Stannous Fluoride + Abrasives + Zinc Chloride**



# Toothpaste for Sensitive Teeth

## Principles & Building Blocks

**Occludes Dentin Tubules**  
Blocks Pain Stimuli

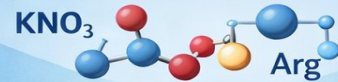


**Erosion Protection**  
Barrier Against Acid



## Key Building Blocks

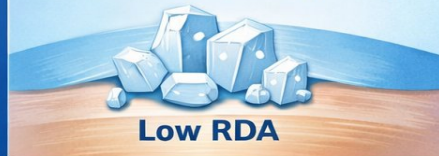
**Potassium Nitrate or Arginine**  
Seals Tubules



**Stannous Fluoride**  
Hypersensitivity Relief



**Gentle Abrasives**  
Low RDA (e.g. Hydroxyapatite)



**How It Works**  
Protective Layer & Nerve Desensitization



## Benefits



**Reduces Pain from Hot & Cold**

**Supports Remineralization**



# Teeth Whitening Products

## Principles & Building Blocks

### Core Principles

- Removes extrinsic stains  
(Abrasion or chemical dissolution)
- Safe for Enamel  
(RDA <250)



### Key Building Blocks



**Abrasives (Silica)**  
For Polishing



**Sodium Tripolyphosphate**  
Stain Dissolving



**Fluorides**  
Decay Protection

### Peroxides (Historical)

Bleaching  
Agents of  
the Past



### How It Works

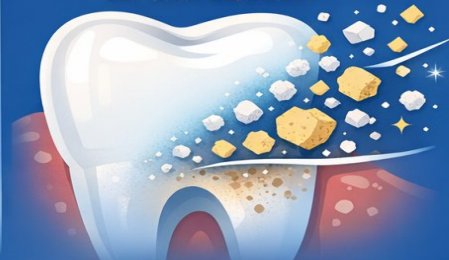
#### Gentle Polishing

2-4 Weeks



#### Breaking Down Stains

No Color Alteration



# Mouthwash

## Principles and Building Blocks



### Principles:



Antiseptic Reduction of Microbes

Breath Control, Anti-Plaque, Sensitivity Relief

### Key Building Blocks:

#### Antiseptics

- Chlorhexidine for Anti-Gingivitis
- Cetylpyridinium Chloride for Halitosis



#### Carriers:

- Alcohol or Water
- Essential Oils: Menthol, Thymol



#### Fluorides:

- Sodium Fluoride for Anticavity Protection



### How It Works:



Lyses Bacteria



Binds to Tissues



Stimulates Saliva



## Key Principles Summary Across Products

### Hair Care

- pH Balance & Mild Surfactants
- Antifungals for Dandruff
- Targeted Agents



### Oral Care

- Fluoride for Protection
- Abrasives for Cleaning
- Tubule Blockers for Sensitivity



### Common Themes

- Natural Derivatives for Mildness
- Preservatives for Stability
- Multifunctional Ingredients



### Safety



- Low Irritation & Biodegradability in Hair
- Controlled Abrasivity in Oral Care



# The Over-Clean Hair Paradox

You proudly use a strong cleansing shampoo every day. **Dry! Frizzy! Angry!**


Your hair is squeaky clean... but also dry, frizzy, and angry.


## Critical Thinking

— Did the shampoo fail—or did it do its job too well? —

— Which formulation principle was ignored? —




**Cleansing Power?**  
Too Harsh?



**Oil Preservation?**  
No Oils Left?


## Hidden Lesson:

# Balance is Key!




**Strong Surfactants**

+



**Balanced pH**

+



**Conditioning Ingredients**

— More Important Than “Deep Cleaning” —



# The Anti-Dandruff Speed Test

## 10-Second Rinse & Rush

**10 SECONDS**

**1 Week Later...**

**DANDRUFF STILL PARTYING!**

Malassezia Resistant...? 🤔

Or Were You Impatient?

## Proper Contact Time

**LEAVE ON 3-5 MINUTES**

**Antifungal Shalmpoe**

**TARGETED ATTACK!**

**THE LESSON:**

**Contact Time is Essential!**

For Antifungals to Work Effectively

- ✓ Active Ingredients Need Time to Work!
- ✓ Zinc Pyrithione
- ✓ Ketoconazole
- ✓ Other Antifungals



# The Whitening Toothpaste Illusion

You use a whitening toothpaste faithfully,  
but continue drinking tea and coffee all *day*.  
Your teeth look... **exactly the same.**



- **Is the toothpaste weak**—Or is your lifestyle stronger than abrasives?
- **Why can't high-RDA toothpaste** solve a chemistry problem caused by diet?

## Hidden Lesson:

**Whitening** relies on controlled abrasivity and stain chemistry, not miracles.



## REFERENCES :

- 1) Harry's Cosmeticology, Wilkinson, Moore, Seventh Edition, George Godwin.
- 2) Cosmetics- Formulations, Manufacturing and Quality Control, P.P. Sharma, 4th Edition, Vandana Publications Pvt. Ltd., Delhi.
- 3) Text book of cosmeticology by Sanju Nanda & Roop K. Khar, Tata Publishers.

