



# UNIT 4 TRANSACTIONS

Transaction Concepts – ACID Properties – Schedules – Serializability – Concurrency Control – Need for Concurrency – Locking Protocols – Two Phase Locking – Deadlocks – Transaction Recovery – Save Points – Isolation Levels – SQL Facilities for Concurrency and Recovery



# Recap

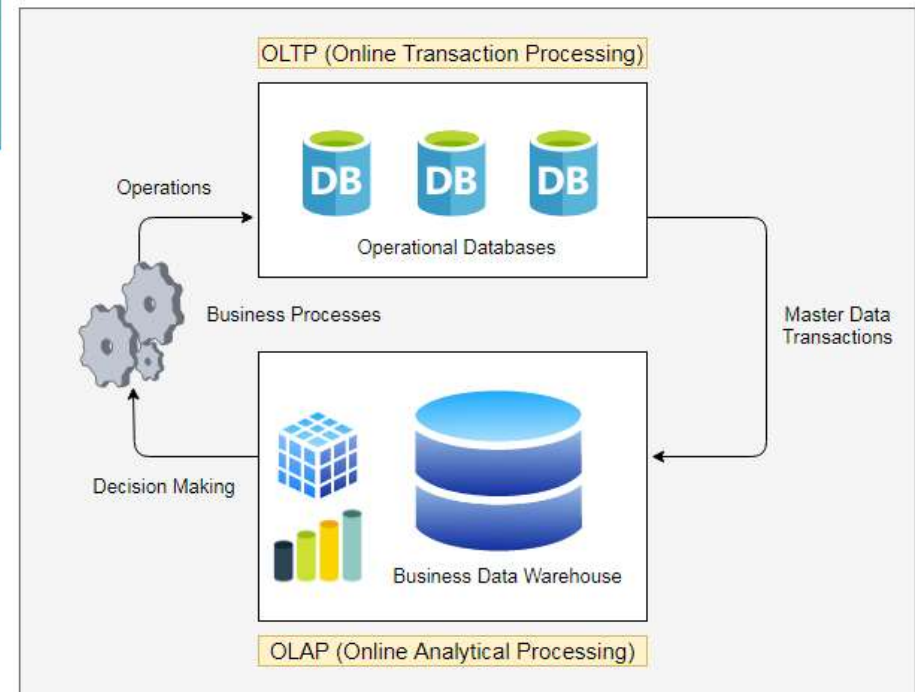
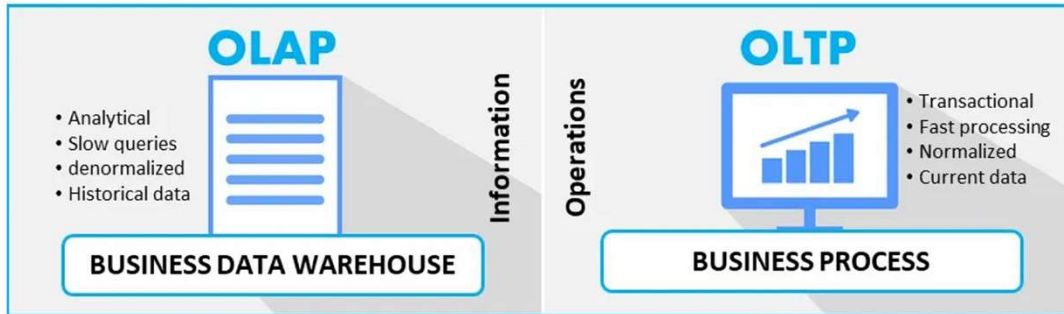
**UNIT I** – Introduction , Architecture, ER Diagram

**UNIT II** – Relational Algebra and Queries – SQL, Intermediate,  
Advanced, Embedded, Dynamic, etc.,

**UNIT III** – Dependencies and Normal Form



# OLAP Vs OLTP





## Dealing with the bank accounts of two users

### Moving an amount of 5000 from Karlos to Ray

1. Open\_Acc (Karlos)
  2. OldBal = Karlos.
  3.  $\text{bal NewBal} = \text{OldBal} - 5000$
  4.  $\text{Ram.bal} = \text{NewBal}$
  5. CloseAccount(Karlos)
1. OpenAccount(Ray)
  2.  $\text{Old\_Bal} = \text{Ray.bal}$
  3.  $\text{NewBal} = \text{Old\_Bal} + 5000$
  4.  $\text{Ahmed.bal} = \text{NewBal}$
  5. CloseAccount(Ray)



# Transaction Concepts

- Unit of program execution that accesses and possibly updates various data items.
- Example

Transaction to transfer \$50 from account A to account B:

1. **read**( $A$ )
2.  $A := A - 50$
3. **write**( $A$ )
4. **read**( $B$ )
5.  $B := B + 50$
6. **write**( $B$ )



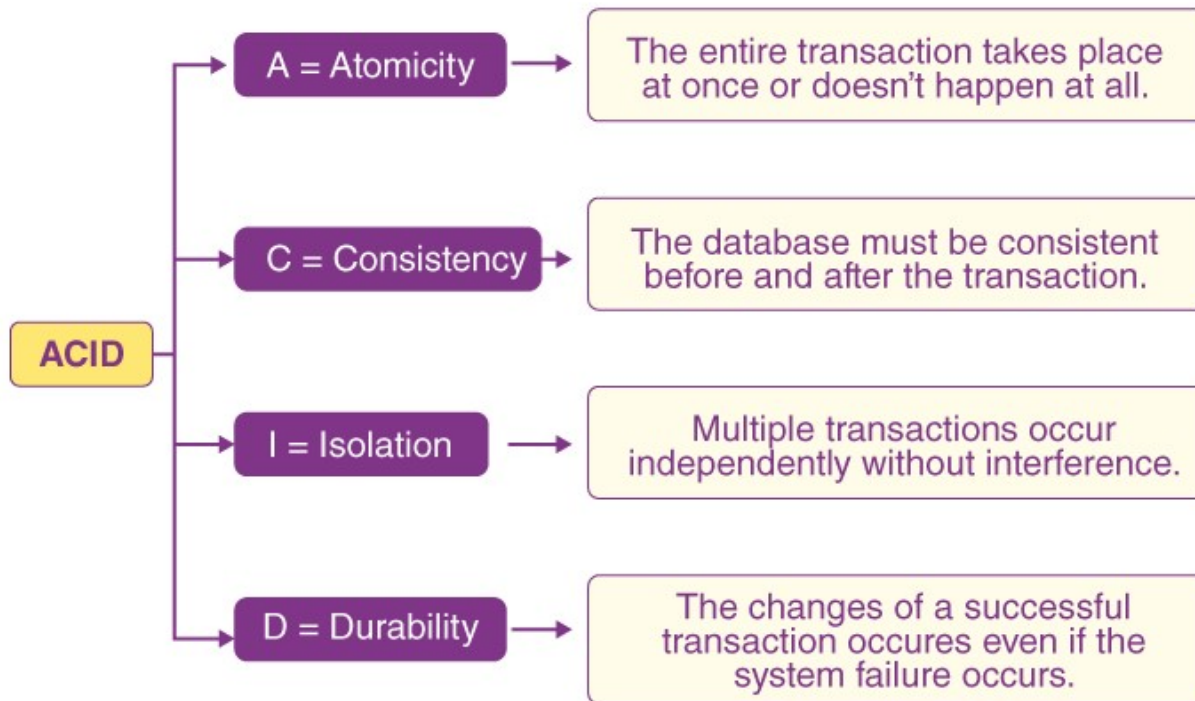


# Transaction Concepts

- Two main issues to deal with:
  - Failures of various kinds, such as **hardware failures and system crashes**
  - **Concurrent execution** of multiple transactions



## ACID Properties in DBMS

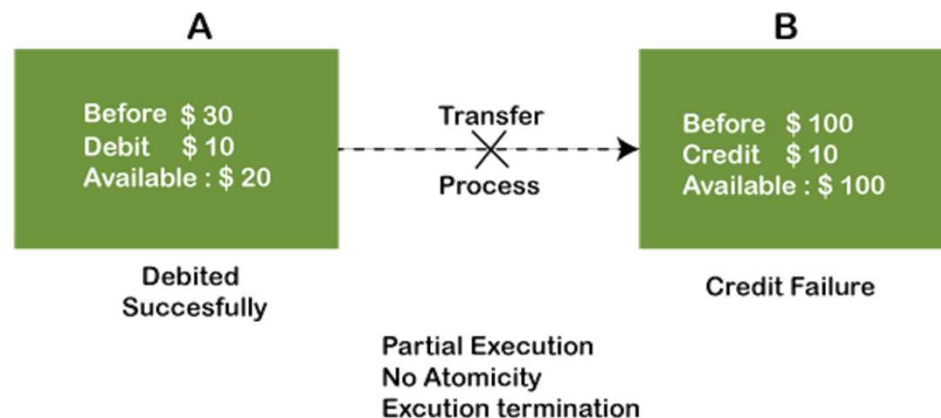






# ACID Properties

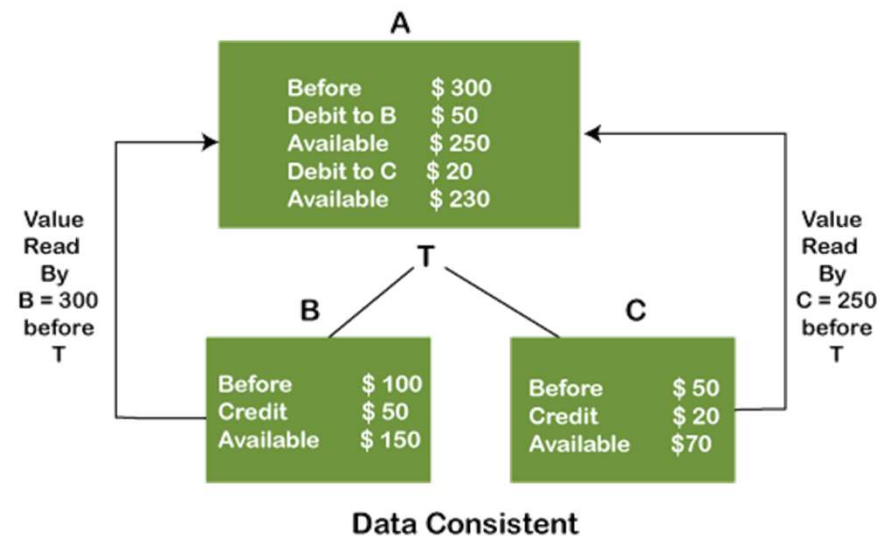
- **Atomicity** - Either all operations of the transaction are properly reflected in the database or none are. (**ALL / NONE**)





# ACID Properties

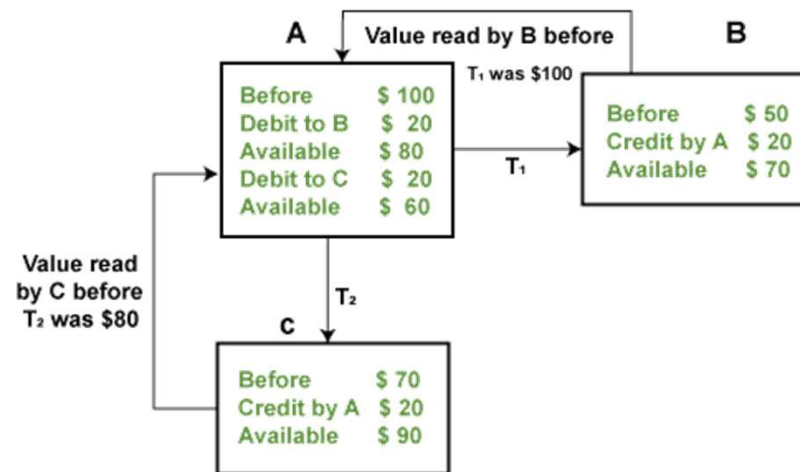
- **Consistency** - Execution of a transaction in isolation preserves the consistency of the database.





# ACID Properties

- **Isolation** - Multiple transactions may execute concurrently, each transaction must be unaware of other concurrently executing transactions.

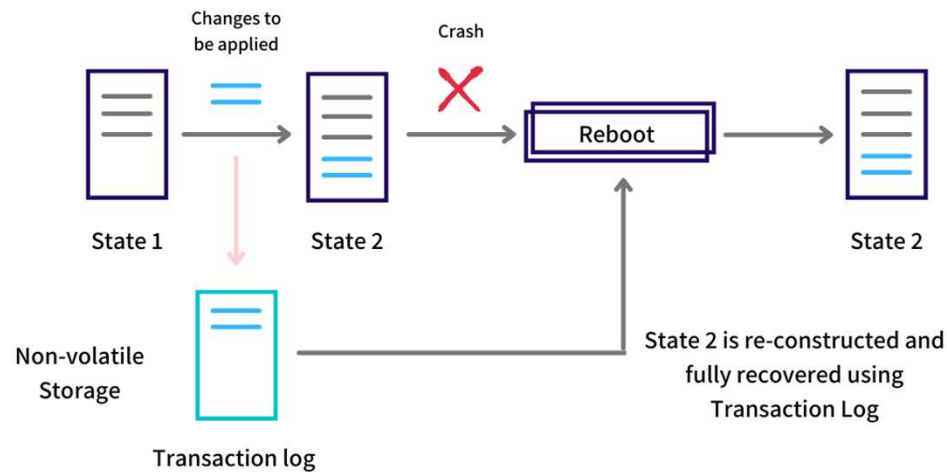


Isolation - Independent execution of T<sub>1</sub> & T<sub>2</sub> by A



# ACID Properties

- **Durability** - After a transaction completes successfully, the changes it has made to the **database persist**, even if there are system failures.



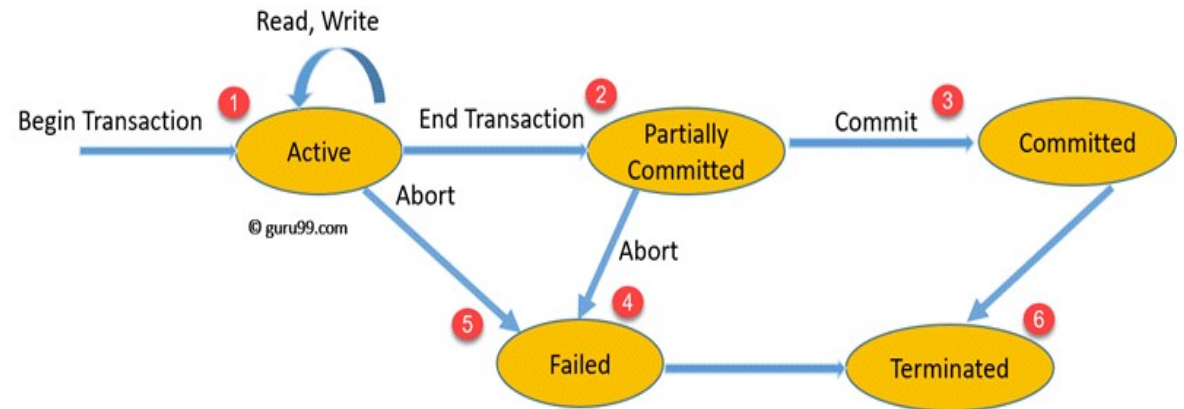
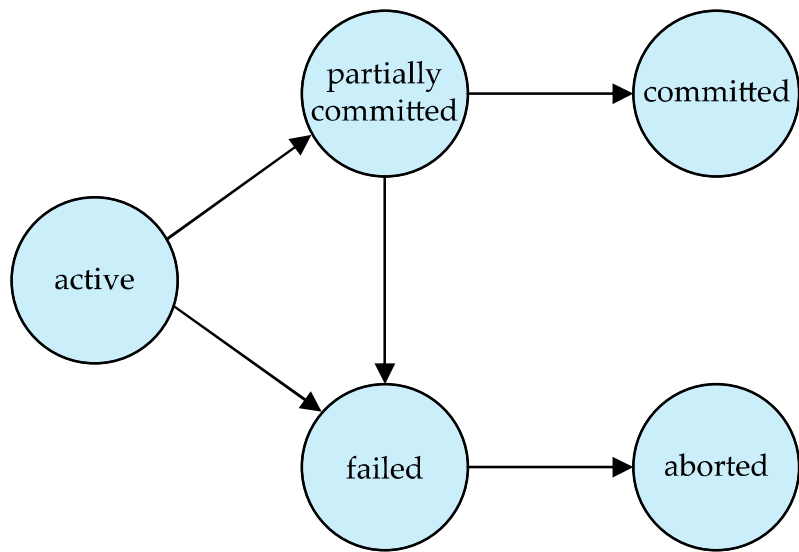
Durability



# Icebreaker Activity



# Transaction State





# Concurrent Executions

Multiple transactions are allowed to run concurrently in the system.

## Advantages

- **Increased processor and disk utilization – Better throughput**
- **Reduced average response**



# Schedules

A sequences of instructions that specify the chronological order in which instructions of concurrent transactions are executed

- Successfully completes – **Execute Commit**
- Fails to Complete – **Execute Abort**





# Schedule 1

- $T_1$  transfer \$50 from  $A$  to  $B$ , and
- $T_2$  transfer 10% of the balance from  $A$  to  $B$ .
- A **serial** schedule -  $T_1$  is followed by  $T_2$

$T_1$	$T_2$
read ( $A$ ) $A := A - 50$ write ( $A$ ) read ( $B$ ) $B := B + 50$ write ( $B$ ) commit	read ( $A$ ) $temp := A * 0.1$ $A := A - temp$ write ( $A$ ) read ( $B$ ) $B := B + temp$ write ( $B$ ) commit



## Schedule 2

A serial schedule where  $T_2$  is followed by  $T_1$

$T_1$	$T_2$
read (A) $A := A - 50$ write (A) read (B) $B := B + 50$ write (B) commit	read (A) $temp := A * 0.1$ $A := A - temp$ write (A) read (B) $B := B + temp$ write (B) commit



## Schedule 3

- $T_1$  and  $T_2$  be the transactions defined previously not a serial schedule, but it is *equivalent* to Schedule 1

$T_1$	$T_2$
read (A) $A := A - 50$ write (A)	
	read (A) $temp := A * 0.1$ $A := A - temp$ write (A)
read (B) $B := B + 50$ write (B) commit	
	read (B) $B := B + temp$ write (B) commit



# Schedule 4

- concurrent schedule does not preserve the value of  $(A + B)$

$T_1$	$T_2$
read ( $A$ ) $A := A - 50$	
	read ( $A$ ) $temp := A * 0.1$ $A := A - temp$ write ( $A$ ) read ( $B$ )
write ( $A$ ) read ( $B$ ) $B := B + 50$ write ( $B$ ) commit	
	$B := B + temp$ write ( $B$ ) commit



# Assessment

## 1. What is a transaction in DBMS?

A transaction refers to a set of operations that perform a single set of logical work.

## 2. What are ACID properties in DBMS?

ACID stands for Atomicity, Consistency, Isolation, and Durability.

## 3. Why are ACID properties important?

ACID properties help to keep the data within databases correct and consistent, and preserve it in case of unexpected events like power failures.

# Summary

- Transaction
- ACID Properties
- Schedules





# Upcoming Session - Serializability

- How different process operates the shared data?
- Each transaction preserves database consistency.
- Serial execution of a set of transactions preserves database consistency.
- Different forms of schedule equivalence give rise to the notions of:
  1. **Conflict Serializability**
  2. **View Serializability**



*Thank  
you*