

## Backtracking

This technique is used to solve problem which deal with searching for a set of solutions  $\mathcal{I}$  where optimal solution satisfying some constraints can be found.

Here,

- desired solution must be expressed as an  $n$ -tuple  $(x_1, x_2, \dots, x_n)$  where  $x_i$  is chosen from some finite set  $S_i$
- Solution must satisfy some criterion function  $P(x_1, x_2, \dots, x_n)$
- If  $m_i$  is the size of  $S_i$ , no. of possible candidates are  $m = m_1 \times m_2 \times \dots \times m_n$
- Unlike Brute force, Backtracking generates only fewer tuples; solution is built up one component at a time and bounding function  $P(x_1, \dots, x_i)$  is used to test whether partial vector is suitable - else discarded.

### Types of Constraints

#### 1) Explicit Constraints

↳ rules which restrict the values of  $x_i$

$$\text{Eg: } x_i \geq 0 \quad (\text{or}) \quad l_i \leq x_i \leq u_i$$

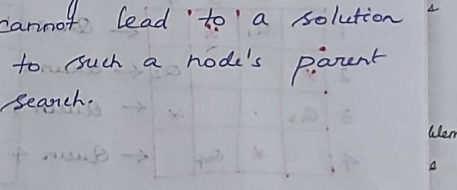
All tuples that satisfy explicit constraints define a possible solution space for Instance  $I$ .

#### 2) Implicit Constraints.

↳ specify how  $x_i$  must relate to each other, determine which of the tuples in the solution space of  $I$  satisfy the criterion function.

### Backtracking method

- \* Constructs a state-space tree
  - ↳ nodes: partial solutions
  - ↳ edges: choices in extending partial soln/
- \* Explore the state space tree using depth-first search (DFS)
- \* "Prune" nonpromising nodes.
  - ↳ dfs stops exploring subtrees rooted at nodes that cannot lead to a solution and backtracks to such a node's parent to continue the search.



## N-Queen Problem

The problem is to place  $n$  queens on an  $n \times n$  chessboard so that no two queens attack each other by being in the same row or in the same column or on the same diagonal.

### Defn

The  $n$ -queens problem is to place  $n$  queens on an  $n$ -by- $n$  chessboard so that no two queens attack each other by being in the same row, or in the same column, or on the same diagonal.

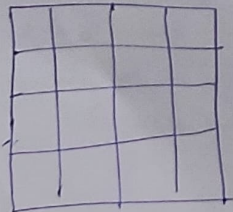
Solution  $x = (x_1, x_2, x_3, x_4) = (2, 4, 1, 3)$

	1	2	3	4	
1		Q <sub>1</sub>	*		← Queen 1
2	*			Q <sub>2</sub>	← Queen 2
3	Q <sub>3</sub>			*	← Queen 3
4		*		Q <sub>4</sub>	← Queen 4

## 4-Queen's problem

For  $n=4$ , There is a solution to place 4 queens in  $4 \times 4$  chessboard

Step 1 start with empty chessboard



Step 2: Place queen 1 in the first possible position of its row, which is in column 1 of row 1.

	1	2	3	4
1	Q			
2				
3				
4				

Step 3 Place queen 2, after trying unsuccessfully columns 1 and 2 in the first acceptable position for it, which is the square in row 2 & column 3

	1	2	3	4
1	Q			
2			Q	
3				
4				

Step 4 This proves to be a dead end because

there is no acceptable position for queen 3. So the algorithm backtracks and puts queen 2 in the next possible position at (2, 4)

	1	2	3	4
1	Q			
2				Q
3				
4				

Explicit Constraint!

$$S_i = \{1, 2, 3, 4\} \quad 1 \leq i \leq 4$$

Implicit Constraint!

No two  $x$ 's can be on the same column  
no two queens can be on the same diagonal.

Step 5: Then queen 3 is placed at (3,2) which proves to be another dead end

	1	2	3	4
1	Q			
2				Q
3		Q		
4				

Step 6: The algorithm then backtracks all the way to queen 1 & moves to (1,2)

	1	2	3	4
1		Q		
2				
3				
4				

Step 7: The queen 2 goes to (2,4)

	1	2	3	4
1		Q		
2				Q
3				
4				

Step 8: The queen 3 goes to (3,1)

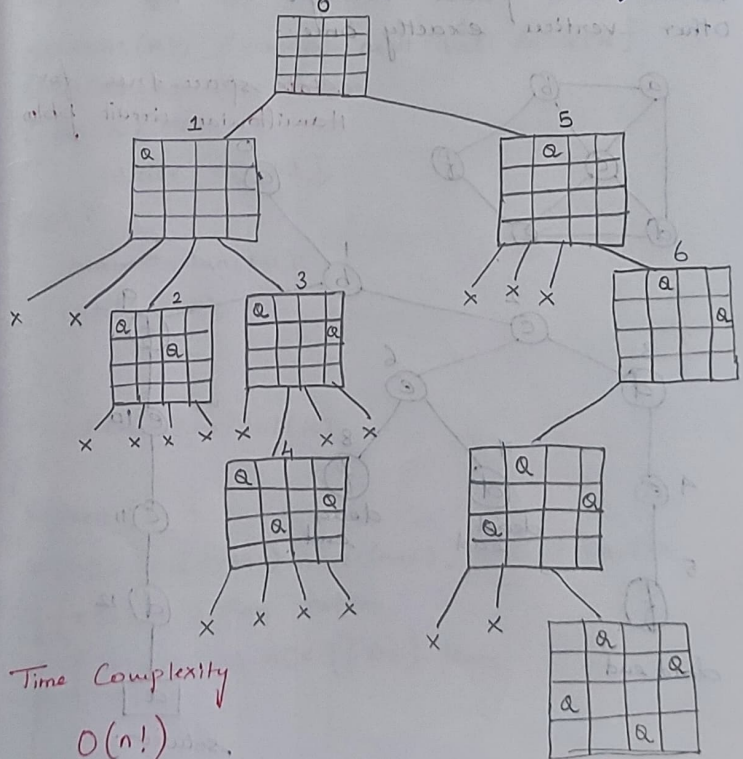
	1	2	3	4
1		Q		
2				Q
3	Q			
4				

Step 9: The queen 3 goes to (4,3). This is a solution to the problem.

	1	2	3	4
1		Q		
2				Q
3	Q			
4			Q	

Solution for 4 Queens Problem

Solutions: (2,4,1,3) and (3,1,4,2) reflection



Time Complexity

$O(n!)$

Solution

# Hamiltonian Circuit Problem

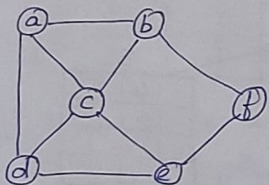
## Defn

Let  $G = \{V, E\}$  be a connected graph

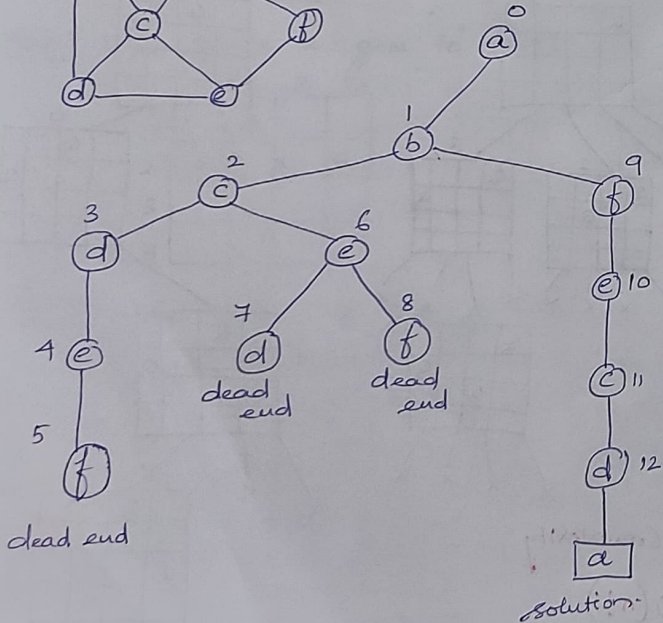
A Hamiltonian cycle is a round trip path that visits all vertices exactly once and comes back to the starting point.

(or)

Hamiltonian circuit of a graph is a path that starts and ends at the same vertex and passes through all the other vertices exactly once.

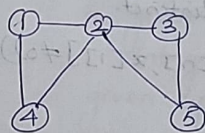


State space tree for Hamiltonian circuit pblm



To form a Hamiltonian circuit / cycle the graph should follow a closed loop.

eg:



→ No cycle Hamiltonian circuit not possible

## Algorithm

Algorithm Hamiltonian(K)

Repeat {

Nextval(K); // assign next val to x[K].

if (x[K] = 0) then return;

if (K = n) then

write (x[1:n]);

else

Hamiltonian(K+1);

} until (false);

}

Algorithm Nextval(K)

{

Repeat {

$x[K] = (x[K] + 1) \bmod (n+1)$ ; // Next vertex

if (x[K] = 0) then return;

if ( $G[x[K-1], x[K]] \neq 0$ ) then

}

```

for j:=1 to K-1 do
  if (x[j] = x[K]) then break;
if (j=K) then vertex is distinct.
if ((K < n) or (K = n) + G[x[n], x[1]] != 0)
then return;
}
until (false);

```

## Subset sum problem

The subset sum problem is to find a subset of a given set  $A = \{a_1, \dots, a_n\}$  of  $n$  positive integers whose sum is equal to a given positive integer  $d$ .

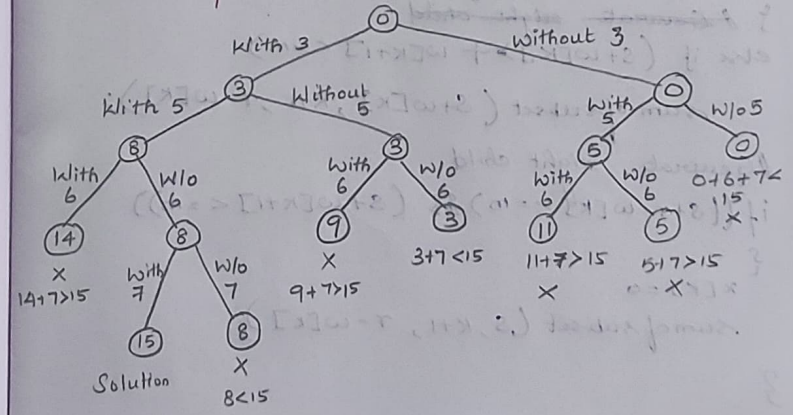
Example  $A = \{1, 2, 5, 6, 8\}$  and  $d = 9$

solutions:  $\{1, 2, 6\}$  and  $\{1, 8\}$

- We record the value of  $s$  the sum of the first  $i$  numbers in the node.
- if  $s$  is equal to  $d$ , we have a solution to the problem.
- We can either report this result + stop or if all the solutions need to be found, continue by backtracking to the node's parent.

Example  $A = \{3, 5, 6, 7\}$   $d = 15$  soln =  $\{3, 5, 7\}$

### State space tree (DFS)



In the above state space tree, for a node at level  $i$ , the left child corresponds to  $x_i = 1$  & the right to  $x_i = 0$  such that,

$$\sum_{i=1}^K w_i x_i + w_{K+1} \leq m \quad [m = \text{total sum}]$$

### Algorithm

```
void sumofsubset(float s, int K, float r)
```

```
{
```

```
    // Generate left child.
```

```
    x[K] = 1
```

```
    if (s + w[K] == m)
```

```
    {
```

```
        // subset found
```

```
        for (j = 1; j <= K; j++)
```

```
            cout << x[j] << " ";
```

```
    } // Generate right child
```

```
    else if (s + w[K] + w[K+1] <= m)
```

```
        sumofsubset(s + w[K], K+1, r - w[K]);
```

```
    // Generate right child.
```

```
    if ((s + r - w[K] >= m) && (s + w[K+1] <= m))
```

```
    {
```

```
        x[K] = 0
```

```
        sumofsubset(s, K+1, r - w[K]);
```

```
    }
```

```
}
```