

#### **SNS COLLEGE OF TECHNOLOGY**



#### Coimbatore-35 An Autonomous Institution

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#### DEPARTMENT OF INFORMATION TECHNOLOGY

19CSE303 - ARTIFICIAL INTELLIGENCE
III YEAR IV SEM

UNIT II – PLANNING OVERVIEW

TOPIC - PLANNING



# Reading



- Required reading
  - Chapter 10
- Recommended reading
  - Chapter 11



### Outline



- Background
  - Situation Calculus
  - Frame, qualification, & ramification problems
- Representation language
- Algorithms



# Background



- Focus
  - The focus here is deterministic planning
    - Environment is fully observable
    - Results of actions is deterministic
  - Relaxing the above requires dealing with uncertainty
    - Problem types: sensorless, contingency, exploration
- Planning 'communities' in Al
  - Logic-based: Reasoning About Actions & Change
  - Less formal representations: Classical AI Planning
  - Uncertainty (UAI): Graphical Models such as
    - Markov Decision Processes (MDP), Partially Observable MDPs, etc.
- Al Planning is not MRP (Material Requirements Planning)



# Actions, events, and change



- Planning requires a representation of time
  - to express & reason about sequences of actions
  - to express the effects of actions on the world
- Propositional Logic
  - does not offer a representation for time
  - Each action description needs to be repeated for each step
- Situation Calculus (AIMA Section 10.4.2)
  - Is based on FOL
  - Each time step is a 'situation'
  - Allows to represent plans and reason about actions & change

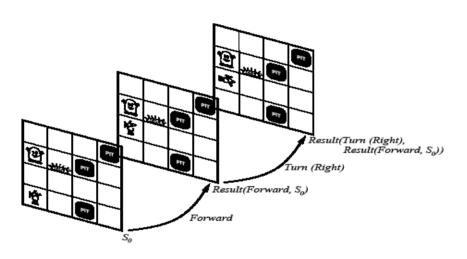




# Situation Calculus: Ontology

**AIMA Section 10.4.2** 

- Situations
- Fluents
- Atemporal (or eternal) predicates & functions





### Situation Calculus: Ontology

- Situations
  - Initial state: S<sub>0</sub>
  - A function Result(a,s) gives the situation resulting from applying action
     a in situation s
- Fluents
  - Functions & predicates whose truth values can change from one situation to the other
  - Example:  $\neg Holding(G_1, S_0)$
- Atemporal (or eternal) predicates and functions
  - Example: Gold(G₁), LeftLegOf(Wumpus)







- Sequence of actions
  - Result([],s)=s
  - Result([a | seq],s)=Result(seq,Result(a,s))
- Projection task
  - Deducing the outcome of a sequence of actions
- Planning task
  - Find a sequence of actions that achieves a desired effect



# Example: Wumpus World



- Fluents
  - At(o,p,s), Holding(o,s)
- Agent is in [1,1], gold is in [1,2]
  - At(Agent,[1,1], $S_0$ )  $\wedge$  At( $G_1$ ,[1,2], $S_0$ )
- In S<sub>0</sub>, we also need to have:
  - At(o,x,S<sub>0</sub>)  $\Leftrightarrow$  [(o=Agent)  $\land$  x=[1,1]]  $\lor$  [(o=G<sub>1</sub>)  $\land$  x=[1,2]]
  - $\neg$ Holding(o,S<sub>0</sub>)
  - $Gold(G_1) \wedge Adjacent([1,1],[1,2]) \wedge Adjacent([1,2],[1,1])$
- The query is:
  - $\exists \text{ seq At}(G_1,[1,1],\text{Result}(\text{seq},S_0))$
- The answer is
  - At(G1,[1,1],Result(Go([1,1],[1,2]),Grab( $G_1$ ),Go([1,2],[1,1]), $S_0$ ))



### Importance of Situation Calculus



- Historical note
  - Situation Calculus was the first attempt to formalizing planning in FOL
  - Other formalisms include Event Calculus
  - The area of using logic for planning is informally called in the literature "Reasoning About Action & Change"
- Highlighted three important problems
  - 1. Frame problem
  - 2. Qualification problem
  - 3. Ramification problem



### 'Famous' Problems



- Frame problem
  - Representing all things that stay the same from one situation to the next
  - Inferential and representational
- Qualification problem
  - Defining the circumstances under which an action is guaranteed to work
  - Example: what if the gold is slippery or nailed down, etc.
- Ramification problem
  - Proliferation of implicit consequences of actions as actions may have secondary consequences
  - Examples: How about the dust on the gold?



### Outline



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- Representation language
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# Planning Languages



- Languages must represent..
  - States
  - Goals
  - Actions
- Languages must be
  - Expressive for ease of representation
  - Flexible for manipulation by algorithms





# State Representation

- A state is represented with a conjunction of positive literals
- Using
  - Logical Propositions: Poor ∧ Unknown
  - FOL literals: At(Plane1,OMA) ∧ At(Plan2,JFK)
- FOL literals must be ground & function-free
  - Not allowed: At(x,y) or At(Father(Fred),Sydney)
- Closed World Assumption
  - What is not stated are assumed false





# **Goal Representation**

- Goal is a <u>partially</u> specified state
- A proposition satisfies a goal if it contains all the atoms of the goal and possibly others..
  - Example: Rich ∧ Famous ∧ Miserable satisfies the goal Rich ∧ Famous







Initial state; Goal State; Actions: Load, Unload, Fly

```
Init(At(C_1, SFO) \land At(C_2, JFK) \land At(P_1, SFO) \land At(P_2, JFK) \\ \land Cargo(C_1) \land Cargo(C_2) \land Plane(P_1) \land Plane(P_2) \\ \land Airport(JFK) \land Airport(SFO))
Goal(At(C_1, JFK) \land At(C_2, SFO))
Action(Load(c, p, a).
PRECOND: At(c, a) \land At(p, a) \land Cargo(c) \land Plane(p) \land Airport(a)
EFFECT: \neg At(c, a) \land In(c, p)
Action(Unload(c, p, a).
PRECOND: In(c, p) \land At(p, a) \land Cargo(c) \land Plane(p) \land Airport(a)
EFFECT: At(c, a) \land \neg In(c, p)
Action(Fly(p, from, to).
PRECOND: At(p, from) \land Plane(p) \land Airport(from) \land Airport(to)
EFFECT: \neg At(p, from) \land At(p, to))
```





# **Action Representation**

- Action Schema
  - Action name
  - Preconditions
  - Effects
- Example

```
Action(Fly(p,from,to),

PRECOND: At(p,from) \land Plane(p) \land Airport(from) \land Airport(to)

EFFECT: \negAt(p,from) \land At(p,to))
```

Sometimes, Effects are split into ADD list and DELETE list

```
At(WHI,LNK),Plane(WHI),
Airport(LNK), Airport(OHA)
Fly(WHI,LNK,OHA)
At(WHI,OHA), ¬ At(WHI,LNK)
```







- Find a substitution list  $\theta$  for the variables
  - of all the precondition literals
  - with (a subset of) the literals in the current state description
- Apply the substitution to the propositions in the effect list
- Add the result to the current state description to generate the new state

Applying an Action

- Example:
  - Current state: At(P1,JFK)  $\land$  At(P2,SFO)  $\land$  Plane(P1)  $\land$  Plane(P2)  $\land$  Airport(JFK)  $\land$  Airport(SFO)
  - It satisfies the precondition with  $\theta$ ={p/P1,from/JFK, to/SFO)
  - Thus the action Fly(P1,JFK,SFO) is applicable
  - The new current state is: At(P1,SFO) ∧ At(P2,SFO) ∧ Plane(P1) ∧ Plane(P2) ∧ Airport(JFK) ∧ Airport(SFO)







#### STRIPS

- Stanford Research Institute Problem Solver
- Historically important

#### ADL

- Action Description Languages
- See Table 11.1 for STRIPS versus ADL

#### PDDL

- Planning Domain Definition Language
- Revised & enhanced for the needs of the International Planning Competition
- Currently <u>version 3.1</u>



# Example: Air Cargo Initial state; Goal State; Actions: Load, Unload, Fly

```
Init(At(C_1, SFO) \land At(C_2, JFK) \land At(P_1, SFO) \land At(P_2, JFK)
    \wedge Cargo(C_1) \wedge Cargo(C_2) \wedge Plane(P_1) \wedge Plane(P_2)
    \land Airport(JFK) \land Airport(SFO)
Goal(At(C_1, JFK) \wedge At(C_2, SFO))
Action(Load(c, p, a),
  PRECOND: At(c, a) \wedge At(p, a) \wedge Cargo(c) \wedge Plane(p) \wedge Airport(a)
  EFFECT: \neg At(c, a) \land In(c, p)
Action(Unload(c, p, a),
  PRECOND: In(c, p) \wedge At(p, a) \wedge Cargo(c) \wedge Plane(p) \wedge Airport(a)
  EFFECT: At(c, a) \land \neg In(c, p)
Action(Fly(p. from. to)).
  PRECOND: At(p, from) \land Plane(p) \land Airport(from) \land Airport(to)
  EFFECT: \neg At(p, from) \land At(p, to)
```





# Example: Spare Tire Problem

- The negated precondition  $\neg At(Flat,Axle)$  not allowed in STRIPS
- Could be easily replaced with Clear(Axle), adding one more predicate to the language

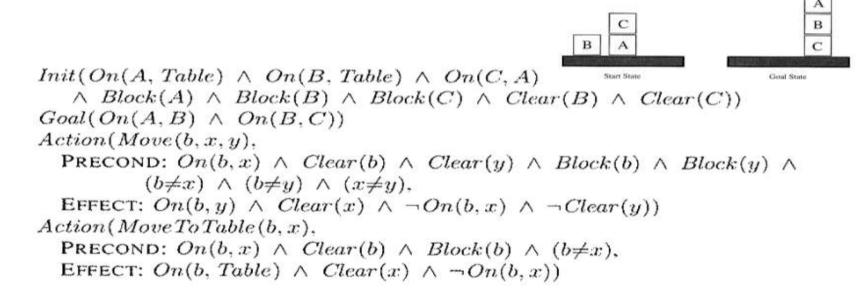
```
Init(Tire(Flat) \land Tire(Spare) \land At(Flat, Axle) \land At(Spare, Trunk))
Goal(At(Spare, Axle))
Action(Remove(obj, loc),
   PRECOND: At(obj, loc)
   EFFECT: \neg At(obj, loc) \land At(obj, Ground)
Action(PutOn(t, Axle),
   PRECOND: Tire(t) \land At(t, Ground) \land \neg At(Flat, Axle)
   EFFECT: \neg At(t, Ground) \land At(t, Axle)
Action(LeaveOvernight,
   PRECOND:
   EFFECT: \neg At(Spare, Ground) \land \neg At(Spare, Axle) \land \neg At(Spare, Trunk)
            \wedge \neg At(Flat, Ground) \wedge \neg At(Flat, Axle) \wedge \neg At(Flat, Trunk))
```





## Example: Blocks World

Initial state; Goal state; Actions: Move(b,x,y), MoveToTable(b,x)





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- Background
  - Situation Calculus
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- Representation language
- Planning Algorithms
  - State-Space Search
  - Partial-Order Planning (POP)
  - Planning Graphs (GRAPHPLAN)
  - SAT Planners



# State-Space Search (1)

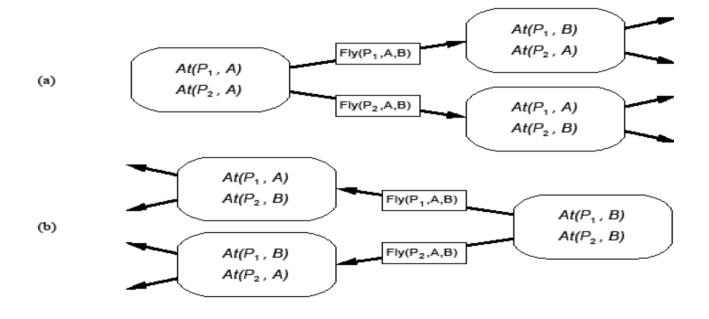


- Search the space of states (first chapters)
  - Initial state, goal test, step cost, etc.
  - Actions are the transitions between state
- Actions are invertible (why?)
  - Move forward from the initial state: Forward State-Space Search or <u>Progression Planning</u>
  - Move backward from goal state: Backward State-Space Search or <u>Regression Planning</u>



# State-Space Search (2)







# State-Space Search (3)



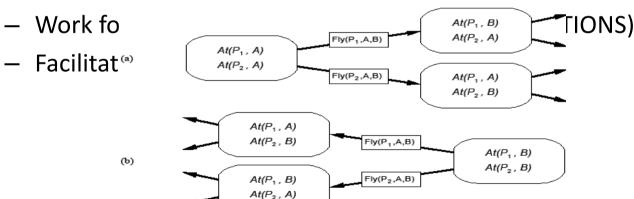
- Remember that the language has no functions symbols
- Thus number of states is finite
- And we can use any complete search algorithm (e.g., A\*)
  - We need an admissible heuristic
  - The solution is a path, a sequence of actions: total-order planning
- Problem: Space and time complexity
  - STRIPS-style planning is PSPACE-complete unless actions have
    - only positive preconditions and
    - only one literal effect







- STRIPS representation makes it easy to focus on 'relevant' propositions and
  - Work backward from goal (using EFFECTS)









- An action is relevant
  - In Progression planning when its preconditions match a subset of the current state
  - In Regression planning, when its effects match a subset of the current goal state





### **Consistent Action**

- The purpose of applying an action is to 'achieves a desired literal'
- We should be careful that the action does not undo a desired literal (as a side effect)
- A consistent action is an action that does not undo a desired literal



# **Backward State-Space Search**



- Given
  - A goal G description
  - An action A that is relevant and consistent
- Generate a predecessor state where
  - Positive effects (literals) of A in G are deleted
  - Precondition literals of A are added unless they already appear
  - Substituting any variables in A's effects to match literals in G
  - Substituting any variables in A's preconditions to match substitutions in A's effects
- Repeat until predecessor description matches initial state







- We can use A\*, but we need an admissible heuristic
  - 1. Divide-and-conquer: sub-goal independence assumption
  - Problem relaxation by removing
  - 2. ... all preconditions
  - 3. ... all preconditions <u>and</u> negative effects
  - 4. ... negative effects only: Empty-Delete-List



### 1. Subgoal Independence Assumption



- The cost of solving a conjunction of subgoals is the sum of the costs of solving each subgoal independently
- Optimistic
  - Where subplans interact negatively
  - Example: one action in a subplan delete goal achieved by an action in another subplan
- Pessimistic (not admissible)
  - Redundant actions in subplans can be replaced by a single action in merged plan



#### 2. Problem Relaxation: Removing Preconditions



- Remove preconditions from action descriptions
  - All actions are applicable
  - Every literal in the goal is achievable in one step
- Number of steps to achieve the conjunction of literals in the goal is equal to the number of unsatisfied literals
- Alert
  - Some actions may achieve several literals
  - Some action may remove the effect of another action



### 3. Remove Preconditions & Negative Effects



- Considers only positive interactions among actions to achieve multiple subgoals
- The minimum number of actions required is the sum of the union of the actions' positive effects that satisfy the goal
- The problem is reduced to a set cover problem, which is NP-hard
  - Approximation by a greedy algorithm cannot guarantee an admissible heuristic



### 4. Removing Negative Effects (Only)



- Remove all negative effects of actions (no action may destroy the effects of another)
- Known as the Empty-Delete-List heuristic
- Requires running a simple planning algorithm
- Quick & effective
- Usable in progression or regression planning



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# Partial Order Planning (POP)



- State-space search
  - Yields totally ordered plans (linear plans)
- POP
  - Works on subproblems independently, then combines subplans
  - Example
    - Goal(RightShoeOn \( \LeftShoeOn \)
    - Init()
    - Action(RightShoe, PRECOND: RightSockOn, EFFECT: RightShoeOn)
    - Action(RightSock, EFFECT: RightSockOn)
    - Action(LeftShoe, PRECOND: LeftSockOn, EFFECT: LeftShoeOn)
    - Action(LeftSock, EFFECT: LeftSockOn)

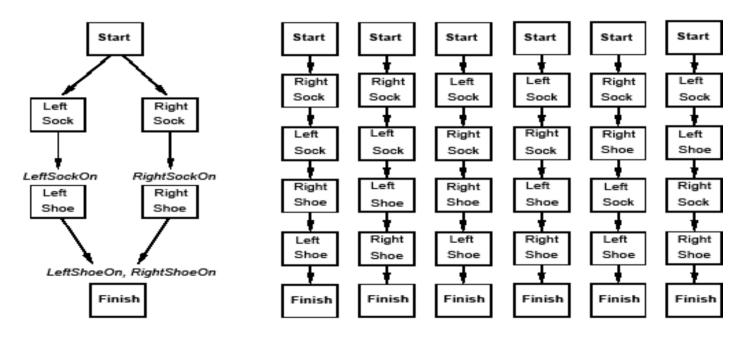


### POP Example & its linearization



Partial Order Plan:

**Total Order Plans:** 







### Components of a Plan

- 1. A set of actions
- 2. A set of ordering constraints
  - A ≺ B reads "A before B" but not necessarily immediately before B
  - Alert: caution to cycles  $A \prec B$  and  $B \prec A$
- 3. A set of causal links (protection intervals) between actions
  - A reads "A achieves p for B" and p must remain true from the time A is applied to the time B is applied
  - Example "RightSock RightShoe
- 4. A set of open preconditions
  - Planners work to reduce the set of open preconditions to the empty set w/o introducing contradictions



# Consistent Plan (POP)



- Consistent plan is a plan that has
  - No cycle in the ordering constraints
  - No conflicts with the causal links
- Solution
  - Is a consistent plan with no open preconditions



- To solve a conflict between a causal link A B and an action C (that clobbers, threatens the causal link), we force C to occur outside the "protection interval" by adding
  - the constraint  $C \prec A$  (demoting C) or
  - the constraint  $B \prec C$  (promoting C)







- Add dummy states
  - Start
    - Has no preconditions
    - Its effects are the literals of the initial state
  - Finish
    - Its preconditions are the literals of the goal state
    - Has no effects
- Initial Plan:
  - Actions: {Start, Finish}
  - Ordering constraints: {Start ≺ Finish}
  - Causal links: {}
  - Open Preconditions: {LeftShoeOn,RightShoeOn}

```
Start
Literal<sub>a</sub>, Literal<sub>b</sub>, ...
```

```
Literal<sub>4</sub>, Literal<sub>2</sub>, ... Finish
```

Start

LeftShoeOn, RightShoeOn Finish



### POP as a Search Problem



- The successor function arbitrarily picks one open precondition p on an action B
- For every possible consistent action A that achieves p



- If A was not in the plan, it adds Start  $\prec$  A and A  $\prec$  Finish
- It resolves all conflicts between
  - the new causal link and all existing actions
  - between A and all existing causal links
- Then it adds the successor states for combination of resolved conflicts
- It repeats until no open precondition exists





### Example of POP: Flat tire problem

See problem description in Fig 10.13 page 391

Start
At(Spare,Trunk), At(Flat,Axle)

- Only one open precondition
- Only 1 applicable action
- Pick up At(Spare, Ground)
- Choose only applicable action Remove(Spare,Trunk)

At(Spare, Ground), —At(Flat, Axle)
PutOn(Spare, Axle)

At(Spare, Axle) Finish

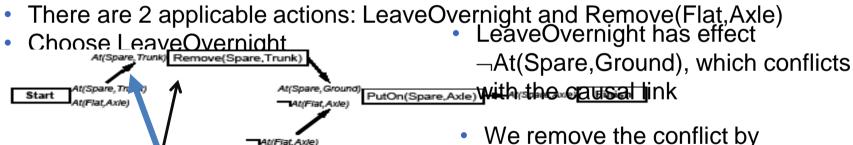






Pick up ¬At(Flat,Axle)

Le veOvernight

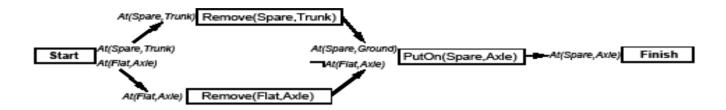


forcing LeaveOvernight to occur

- Conflicts with effects of Remove(Spare, Trunk) pefore Remove(Spare, Trunk)
- The only way to resolve the conflict is to undo LeaveOvernightuse the action Remove(Flat,Axle)







- This time, we choose Remove(Flat,Axle)
- Pick up At(Spare, Trunk) and choose Start to achieve it
- Pick up At(Flat,Axle) and choose Start to achieve it.
- We now have a complete consistent partially ordered plan



# POP Algorithm (1)



- Backtrack when fails to resolve a threat or find an operator
- Causal links
  - Recognize when to abandon a doomed plan without wasting time expanding irrelevant part of the plan
  - allow early pruning of inconsistent combination of actions
- When actions include variables, we need to find appropriate substitutions
  - Typically we try to delay commitments to instantiating a variable until we have no other choice (least commitment)
- POP is sound, complete, and systematic (no repetition)



# POP Algorithm (2)



- Decomposes the problem (advantage)
- But does not represent states explicitly: it is hard to design heuristic to estimate distance from goal
  - Example: Number of open preconditions those that match the effects of the start node. Not perfect (same problems as before)
- A heuristic can be used to choose which plan to refine (which precondition to pick-up):
  - Choose the most-constrained precondition, the one satisfied by the least number of actions. Like in CSPs!
  - When no action satisfies a precondition, backtrack!
  - When only one action satisfies a precondition, pick up the precondiction.



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# Planning Graph



- Is special data structure used for
  - 1. Deriving better heuristic estimates
  - 2. Extract a solution to the planning problem: GRAPHPLAN algorithm
- Is a sequence  $\langle S_0, A_0, S_1, A_1, ..., S_i \rangle$  of levels
  - Alternating state levels & action levels
  - Levels correspond to time stamps
  - Starting at initial state
  - State level is a set of (propositional) literals
    - All those literals that could be true at that level
  - Action level is a set of (propositionalized) actions
    - All those actions whose preconditions appear in the state level (ignoring all negative interactions, etc.)
- Propositionalization may yield combinatorial explosition in the presence of a large number of objects



### **Focus**

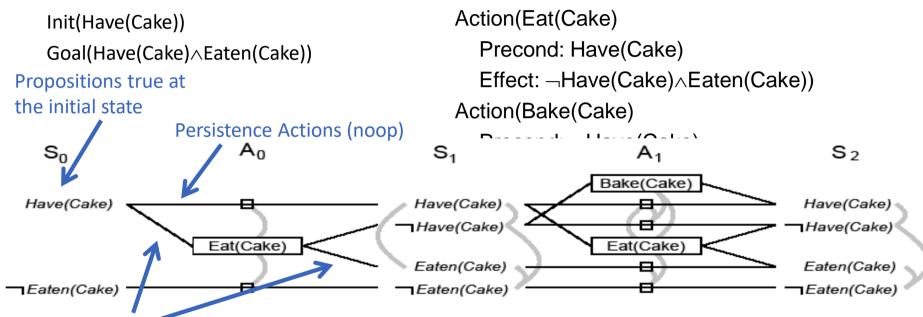


- Building the Planning Graph
- Using it for Heuristic Estimation
- Using it for generating the plan





### Example of a Planning Graph (1)



Action is connected to its preconds & effects

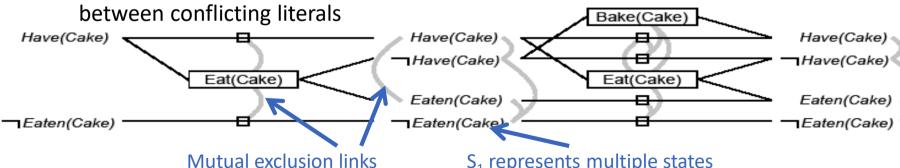




### Example of a Planning Graph (2)

- At each state level, list all literals that may hold at that level
- At each action level, list all noops & all actions whose preconditions may hold at previous levels
- Repeat until plan 'levels off,' no new literals appears  $(S_i=S_{i+1})$
- Building the Planning Graph is a polynomial process

So Add (binary) mutual exclusion (mutex) links between conflicting actions and S2



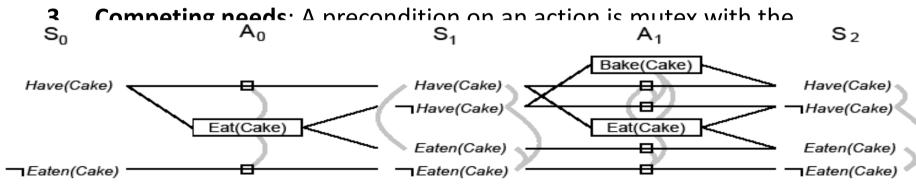
S<sub>1</sub> represents multiple states







- 1. Inconsistent effects: one action negates an effect of another
  - Eat(Cake) & noop of Have(Cake) disagree on effect Have(Cake)
- **2. Interference**: An action effect negates the precondition of another
  - Eat(Cake) negates precondition of the noop of Have(Cake):

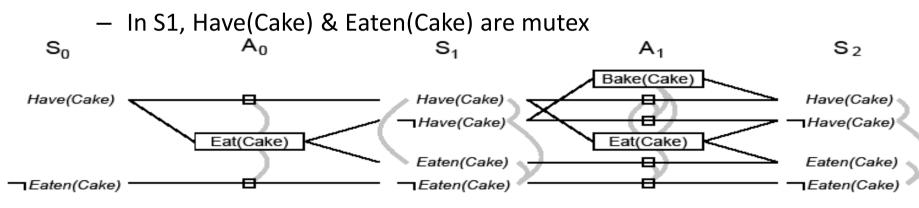








- 1. Two literals are negation of each other
- 2. Inconsistent support: Each pair of actions that can achieve the two literals is mutex. Examples:





#### **Focus**



- Building the Planning Graph
- Using it for Heuristic Estimation
  - Planning graph as a relaxation of original problem
  - Easy to build (compute)
- Using it for generating the plan





#### Planning Graph for Heuristic Estimation

- A literal that does not appear in the final level cannot be achieved by any plan
  - State-space search: Any state containing an unachievable literal has cost h(n)=∞
  - POP: Any plan with an unachievable open condition has cost h(n)=∞
- The estimate cost of any goal literal is the first level at which it appears
  - Estimate is admissible for individual literals
  - Estimate can be improved by serializing the graph (serial planning graph: one action per level) by adding mutex between all actions in a given level
- The estimate of a conjunction of goal literals
  - Three heuristics: max level, level sum, set level







- Max-level
  - The largest level of a literal in the conjunction
  - Admissible, not very accurate
- Level sum
  - Under subgoal independence assumption, sums the level costs of the literals
  - Inadmissible, works well for largely decomposable problems
- Set level
  - Finds the level at which all literals appear w/o any pair of them being mutex
  - Dominates max-level, works extremely well on problems where there is a great deal of interaction among subplans



### **Focus**



- Building the Planning Graph
- Using it for Heuristic Estimation
- Using it for generating the plan
  - GraphPlan algorithm [Blum & Furst, 95]







```
GRAPHPLAN(problem) returns solution or failure graph \leftarrow \text{INITIALPLANNINGGRAPH}(problem) goals \leftarrow \text{GOALS}[problem] loop do if goals all non-mutex in last level of graph then do solution \leftarrow \text{EXTRACTSOLUTION}(graph, goals, \text{LENGTH}(graph)) if solution \neq failure then return solution else if NOSOLUTIONPOSSIBLE(graph) then return failure graph \leftarrow \text{EXPANDGRAPH}(graph, problem)
```

- Two main stages
  - Extract solution
  - 2. Expand the graph





#### Example of GRAPHPLAN Execution (1)

- At(Spare,Axle) is not in S<sub>0</sub>
- No need to extract solution
- Expand the plan

At(Flat, Axle)

¬At(Spare, Axle)

¬At(Flat, Ground)

¬At(Spare, Ground)







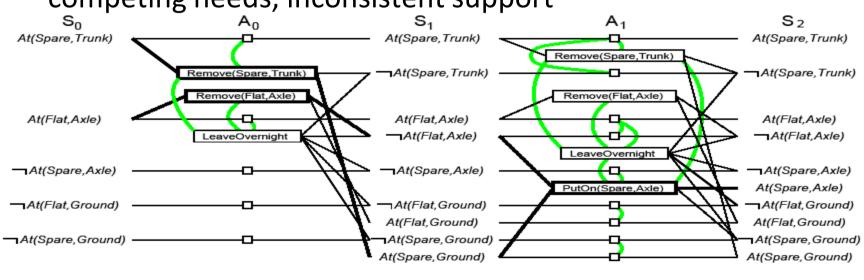
 Three actions are applicable At(Spare, Trunk) 3 actions and 5 At(Spare, Trunk) Remove(Spare, Trunk) noops are added Remove(Flat,Axle) Mutex îinks are At(Flat, Axle) eaveOvernight At(Flat.Axle) added\_\_\_\_At(Spare,Axle) At(Spare, Axle) At(Spare, Axle) ¬At(Flat, Ground) still not in S At(Flat, Ground) Plan is expanded At(Spare, Ground) At(Spare, Ground)





### Example of GRAPHPLAN Execution (3)

 Illustrates well mutex links: inconsistent effects, interference, competing needs, inconsistent support

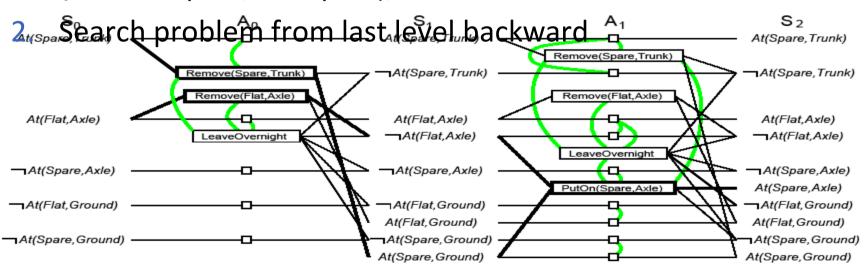






### Solution Extraction (Backward)

1. Solve a Boolean CSP: Variables are actions, domains are {0=out of plan, 1=in plan}, constraints are mutex





#### **Backtrack Search for Solution Extraction**



- Starting at the highest fact level
  - Each goal is put in a goal list for the current fact layer
  - Search iterates thru each fact in the goal list trying to find an action to support it which is not mutex with any other chosen action
  - When an action is chosen, its preconditions are added to the goal list of the lower level
  - When all facts in the goal list of the current level have a consistent assignment of actions, the search moves to the next level
- Search backtracks to the previous level when it fails to assign an action to each fact in the goal list at a given level
- Search succeeds when the first level is reached.



#### Termination of GRAPHPLAN



- GRAPHPLAN is guaranteed to terminate
  - Literal increase monotonically
  - Actions increase monotonically
  - Mutexes decrease monotinically
- A solution is guaranteed not to exist when
  - The graph levels off with all goals present & nonmutex, and
  - EXTRACTSOLUTION fails to find solution



# **Optimality of GRAPHPLAN**



- The plans generated by GRAPHPLAN
  - Are optimal in the number of steps needed to execute the plan
  - Not necessarily optimal in the number of actions in the plan (GRAPHPLAN produces partially ordered plans)



### Outline



- Background
  - Situation Calculus
  - Frame, qualification, & ramification problems
- Representation language
- Planning Algorithms
  - State-Space Search
  - Partial-Order Planning (POP)
  - Planning Graphs (GRAPHPLAN)
  - SAT Planners





```
Init(Tire(Flat) \land Tire(Spare) \land At(Flat, Axle) \land At(Spare, Trunk))
Goal(At(Spare, Axle))
Action(Remove(obj, loc), \\ PRECOND: At(obj, loc) \\ EFFECT: \neg At(obj, loc) \land At(obj, Ground))
Action(PutOn(t, Axle), \\ PRECOND: Tire(t) \land At(t, Ground) \land \neg At(Flat, Axle)
EFFECT: \neg At(t, Ground) \land At(t, Axle))
Action(Leave Overnight, \\ PRECOND: \\ EFFECT: \neg At(Spare, Ground) \land \neg At(Spare, Axle) \land \neg At(Spare, Trunk) \\ \land \neg At(Flat, Ground) \land \neg At(Flat, Axle) \land \neg At(Flat, Trunk))
```





## THANK YOU