

*CAP4730: Computational  
Structures in Computer Graphics*



3D Transformations

# Outline



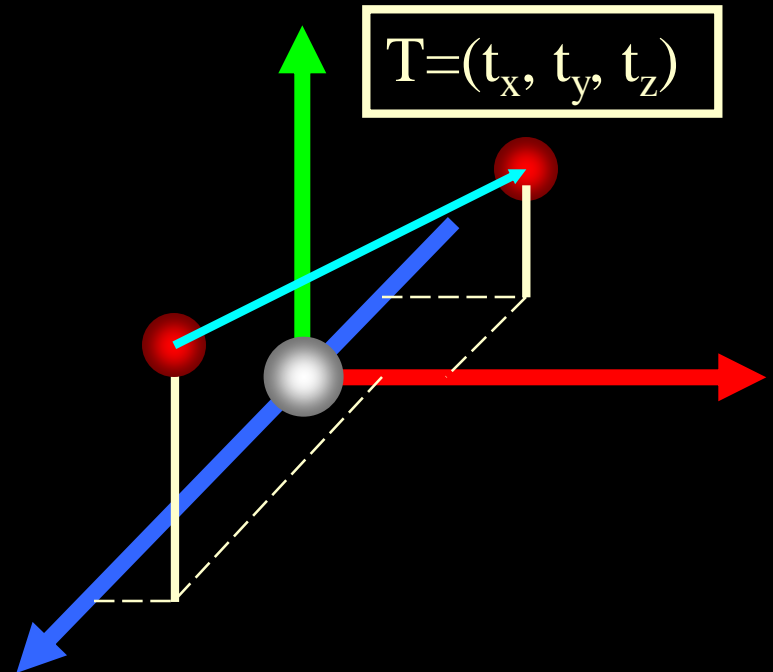
- 3D World
- What we are trying to do
- Translate
- Scale
- Rotate

# Transformations in 3D!

- Remembering 2D transformations  $\rightarrow$   $3 \times 3$  matrices, take a wild guess what happens to 3D transformations.

$$T(t_x, t_y) = \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} t_x \\ t_y \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix}$$

$$T(t_x, t_y, t_z) = \begin{bmatrix} x \\ y \\ z \end{bmatrix} + \begin{bmatrix} t_x \\ t_y \\ t_z \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

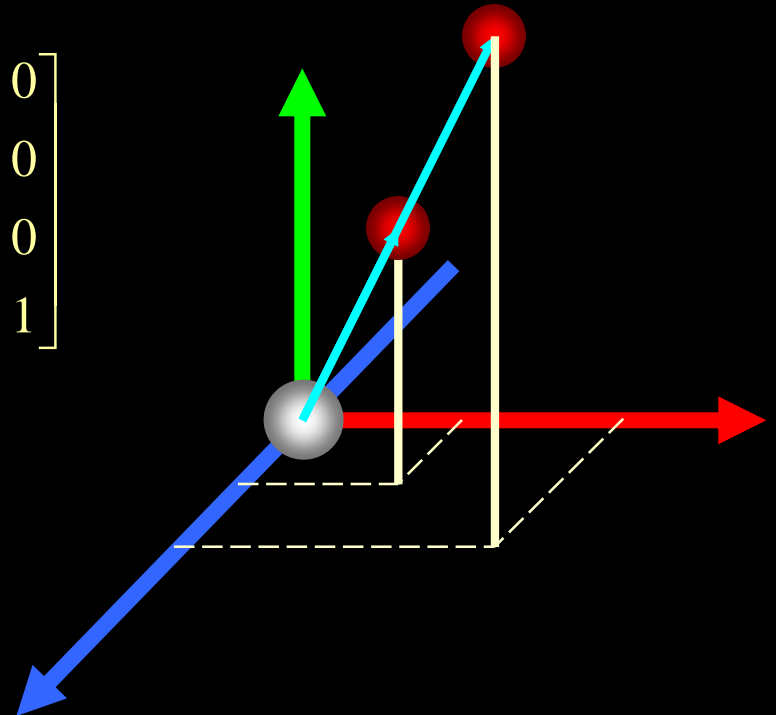


# Scale, 3D Style

$$S(s_x, s_y) = \begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} * \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$S = (s_x, s_y, s_z)$$

$$S(s_x, s_y, s_z) = \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & s_z \end{bmatrix} * \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



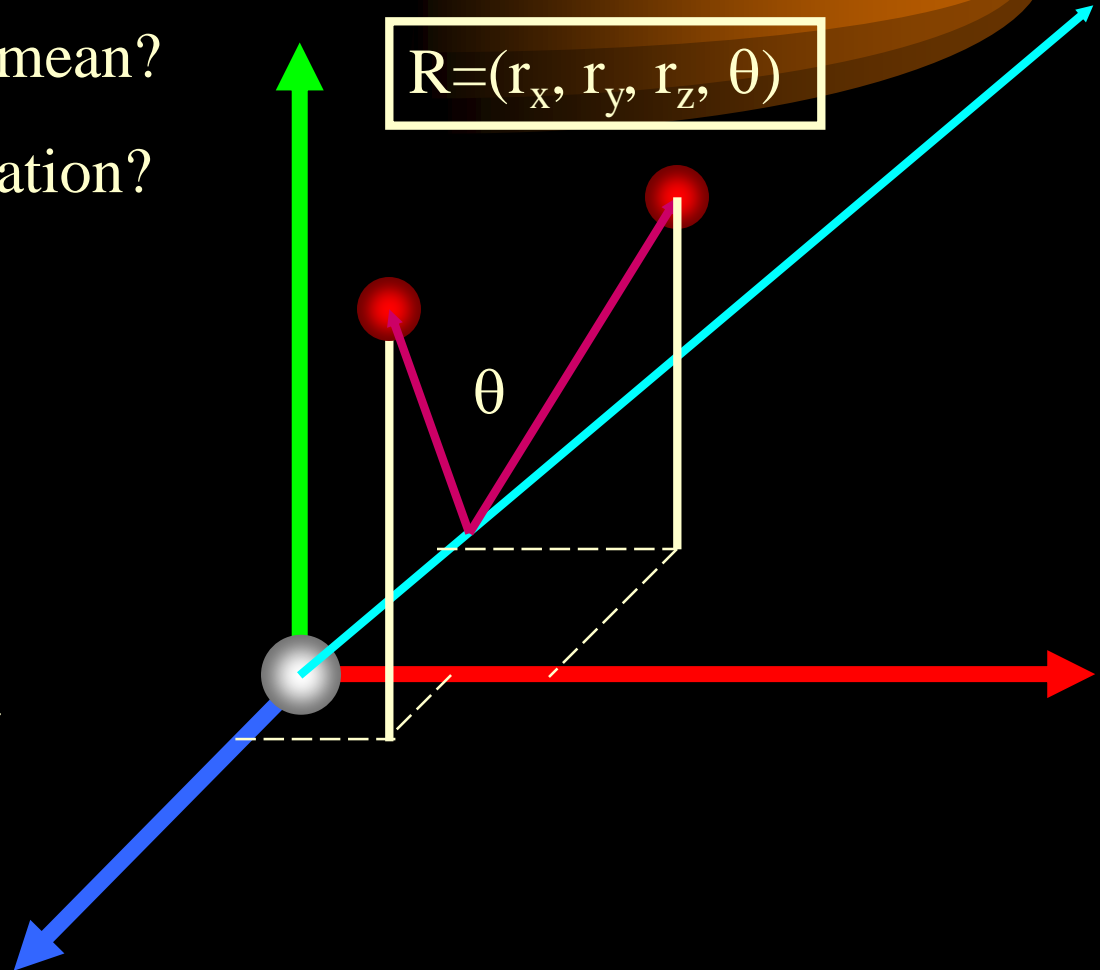
# *Rotations, in 3D no less!*

What does a rotation in 3D mean?

Q: How do we specify a rotation?

A: We give a vector to rotate about, and a theta that describes how much we rotate.

Q: Since 2D is sort of like a special case of 3D, what is the vector we've been rotating about in 2D?

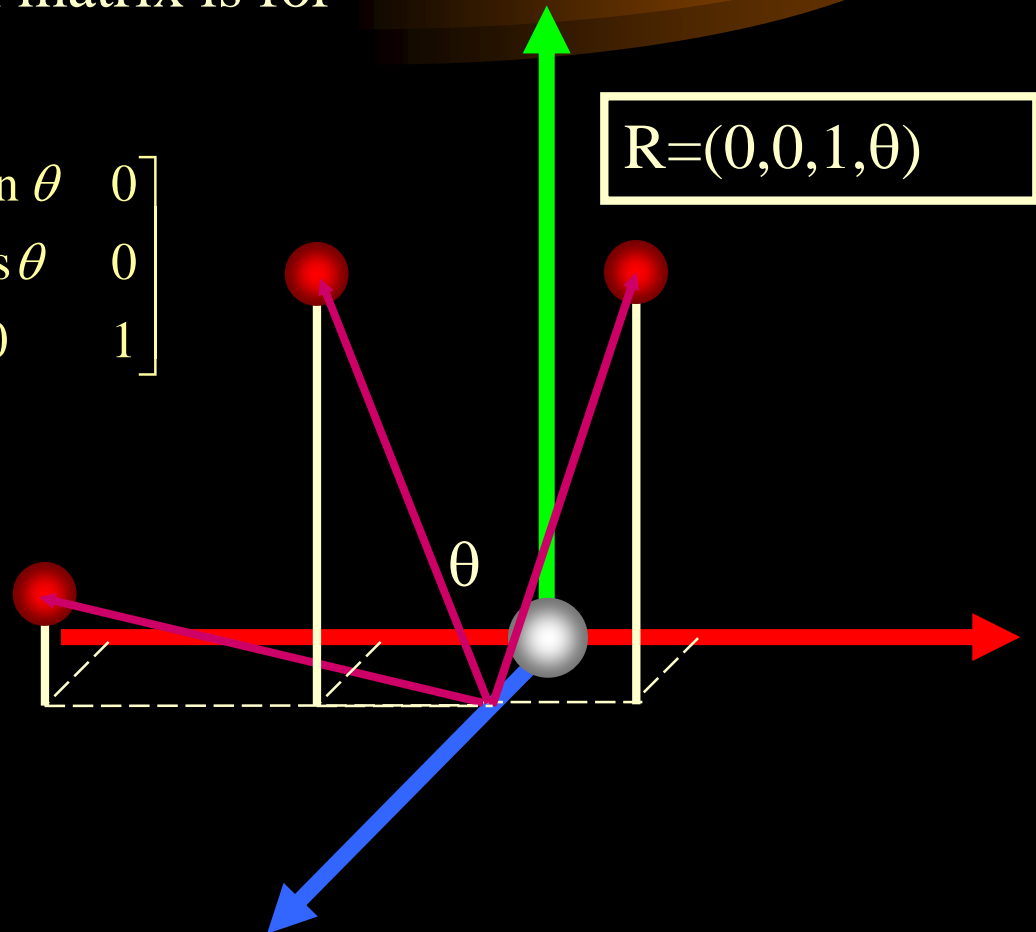


# Rotations about the Z axis

What do you think the rotation matrix is for rotations about the z axis?

$$R(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$R(0,0,1,\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

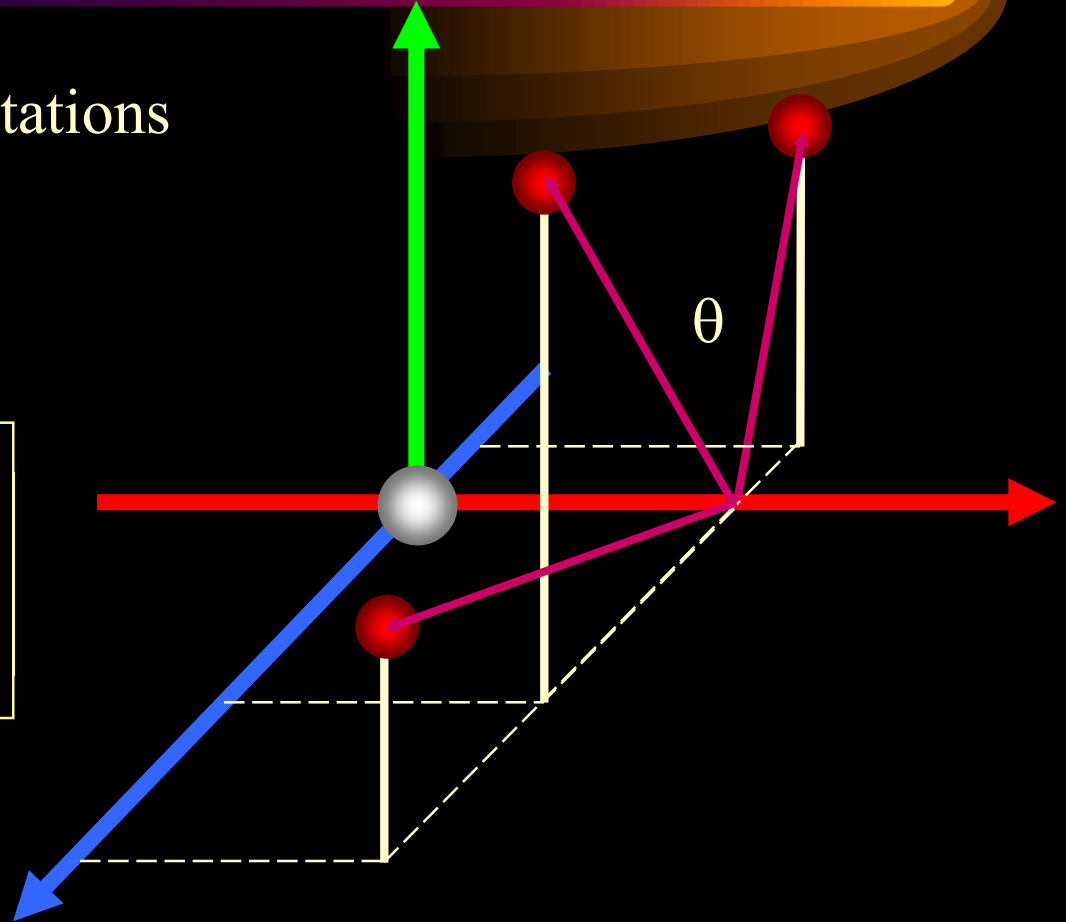


# *Rotations about the X axis*

Let's look at the other axis rotations

$$\mathbf{R}=(1,0,0,\theta)$$

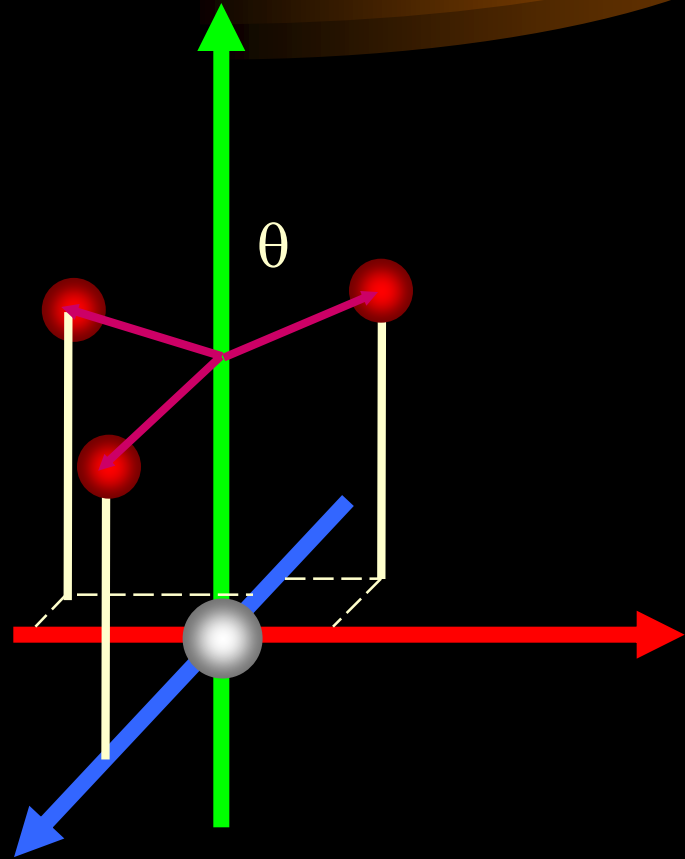
$$R(1,0,0,\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# *Rotations about the Y axis*

$$\mathbf{R}=(0,1,0,\theta)$$

$$R(0,1,0,\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$





# *Rotations for an arbitrary axis*

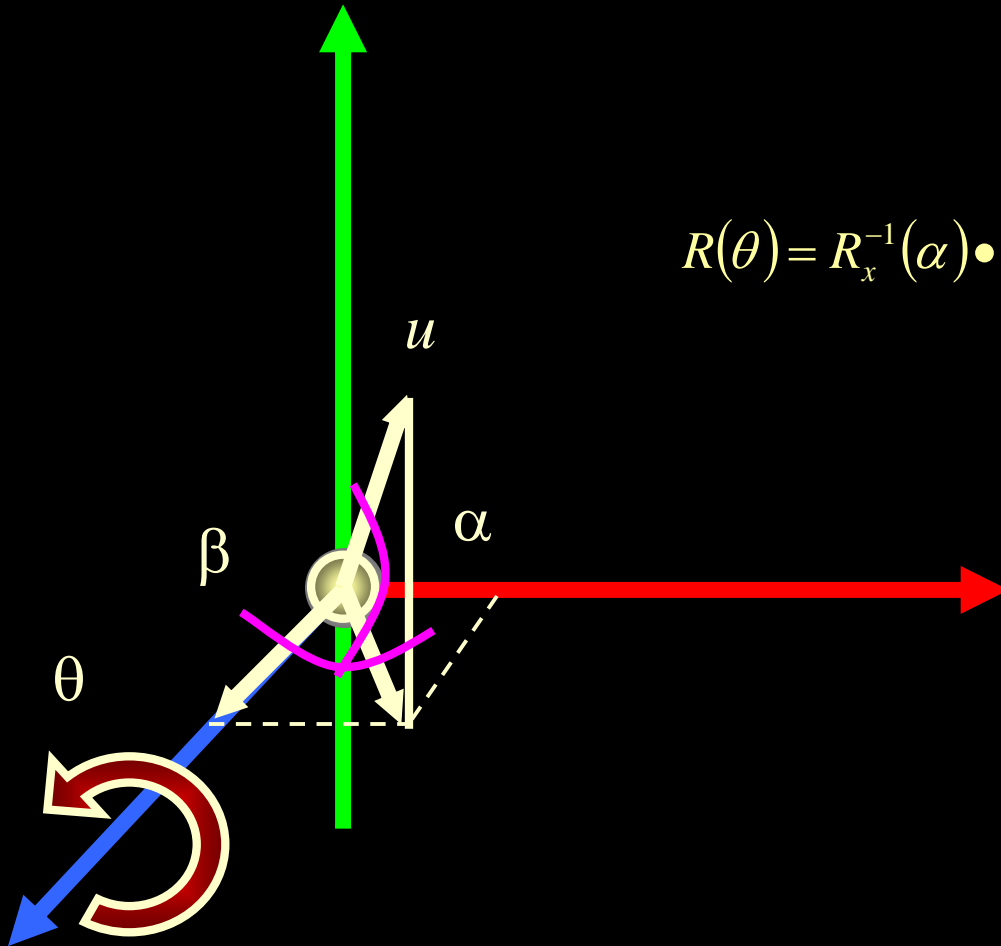
$$R(1,0,0,\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R(0,1,0,\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R(0,0,1,\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Rotations for an arbitrary axis

$$R(\theta) = R_x^{-1}(\alpha) \cdot R_y^{-1}(\beta) \cdot R_z(\theta) \cdot R_y(\beta) \cdot R_x(\alpha)$$



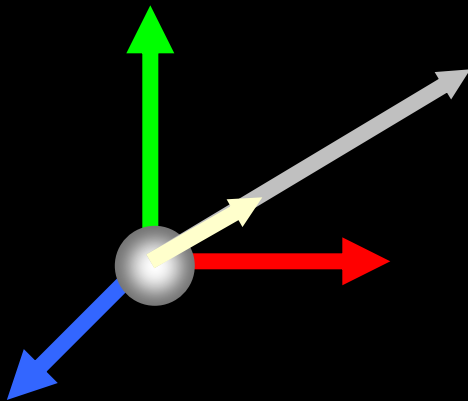
Steps:

1. Normalize vector  $u$
2. Compute  $\alpha$
3. Compute  $\beta$
4. Create rotation matrix

# Vector Normalization

- Given a vector  $v$ , we want to create a *unit vector* that has a magnitude of 1 and has the same *direction* as  $v$ . Let's do an example.

$$\text{Normalized } v = \frac{v}{|v|}$$



# Computing the Rotation Matrix

- 1. Normalize  $u$
- 2. Compute  $R_x(\alpha)$
- 3. Compute  $R_y(\beta)$
- 4. Generate Rotation Matrix

$$u = (a, b, c)$$

$$d = \sqrt{b^2 + c^2}$$

$$u_z = (0, 0, 1)$$

$$\cos \alpha = \frac{u' \cdot u_z}{|u'| \cdot |u_z|} = \frac{c}{d}$$

$$\sin \alpha = \frac{b}{d}$$

$$\cos \beta = d$$

$$\sin \beta = -a$$

# Rotation Matrix

$$R(\theta) = R_x^{-1}(\alpha) \cdot R_y^{-1}(\beta) \cdot R_z(\theta) \cdot R_y(\beta) \cdot R_x(\alpha)$$

$$R_x(\alpha) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{c}{d} & \frac{-b}{d} & 0 \\ 0 & \frac{b}{d} & \frac{c}{d} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_y(\beta) = \begin{bmatrix} d & 0 & -a & 0 \\ 0 & 1 & 0 & 0 \\ a & 0 & d & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Applying 3D Transformations

$$P' = TRTP$$

Let's compute M

$$P' = T(t_x, t_y, t_z)R(\theta)T(-t_x, -t_y, -t_z)P$$

$$P' = MP$$

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ m & n & o & p \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

# Homogenous Coordinates

- We need to do something to the vertices
- By increasing the dimensionality of the problem we can transform the addition component of Translation into multiplication.

$$P = \begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} x_h \\ y_h \\ h \end{bmatrix} = \begin{bmatrix} x = \frac{x_h}{h} \\ y = \frac{y_h}{h} \\ h \\ h \end{bmatrix} \text{ Ex. } \begin{bmatrix} 4 \\ 2 \end{bmatrix} \rightarrow \begin{bmatrix} 4 \\ 2 \\ 1 \end{bmatrix}, \text{ Ex. } \begin{bmatrix} 3 \\ 7 \end{bmatrix} \rightarrow \begin{bmatrix} 6 \\ 14 \\ 2 \end{bmatrix} = \begin{bmatrix} 3 = \frac{6}{2} \\ 7 = \frac{14}{2} \\ 2 \\ 2 \end{bmatrix}$$

# *Homogenous Coordinates*

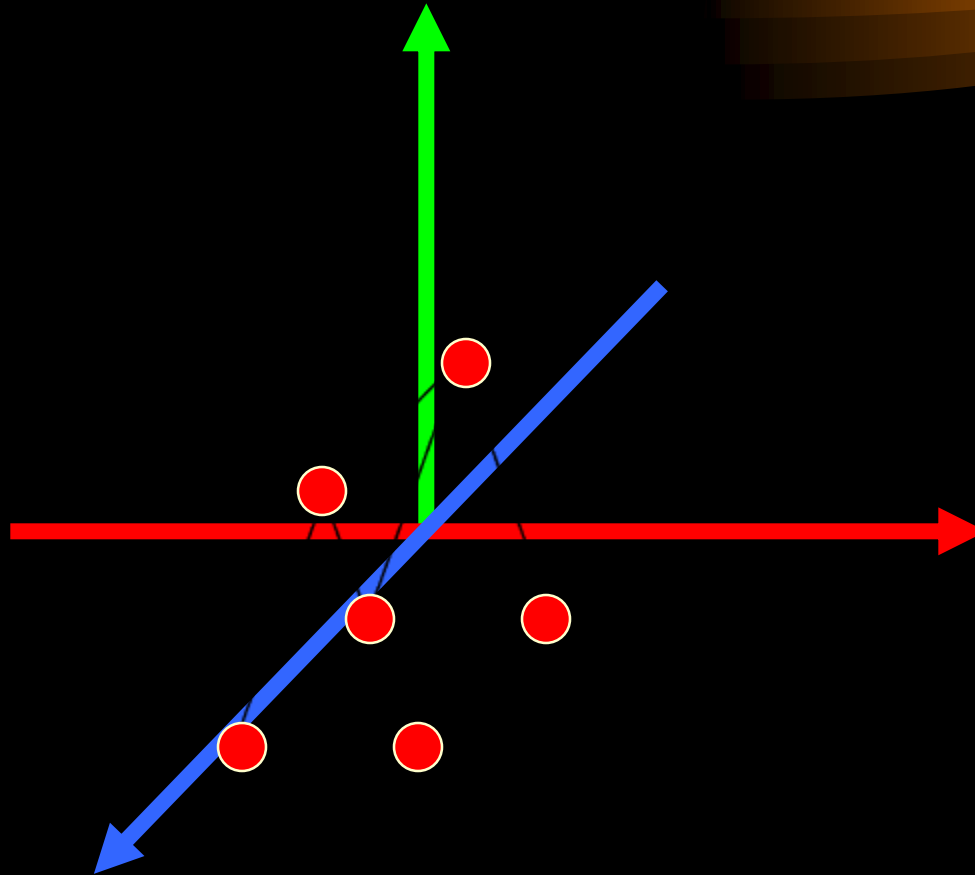
- Homogenous Coordinates – embed 3D transforms into 4D
- All transformations can be expressed as matrix multiplications.
- Inverses and combination easier
- Equivalence of vectors  $(4\ 2\ 1\ 1) = (8\ 4\ 2\ 2)$
- What this means programatically



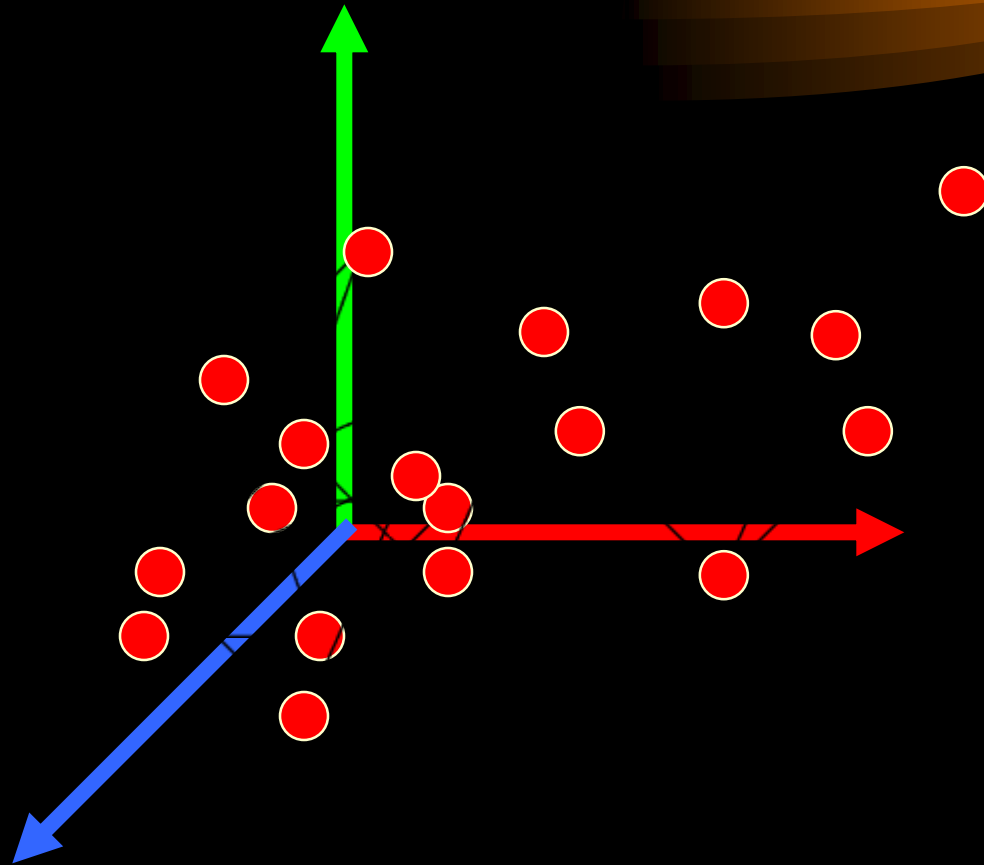
# *The Question*

- Given a 3D point, an eye position, a camera position, and a display plane, what is the resulting pixel position?
- Now extend this for a group of three points
- Then apply what you know about scan conversion.

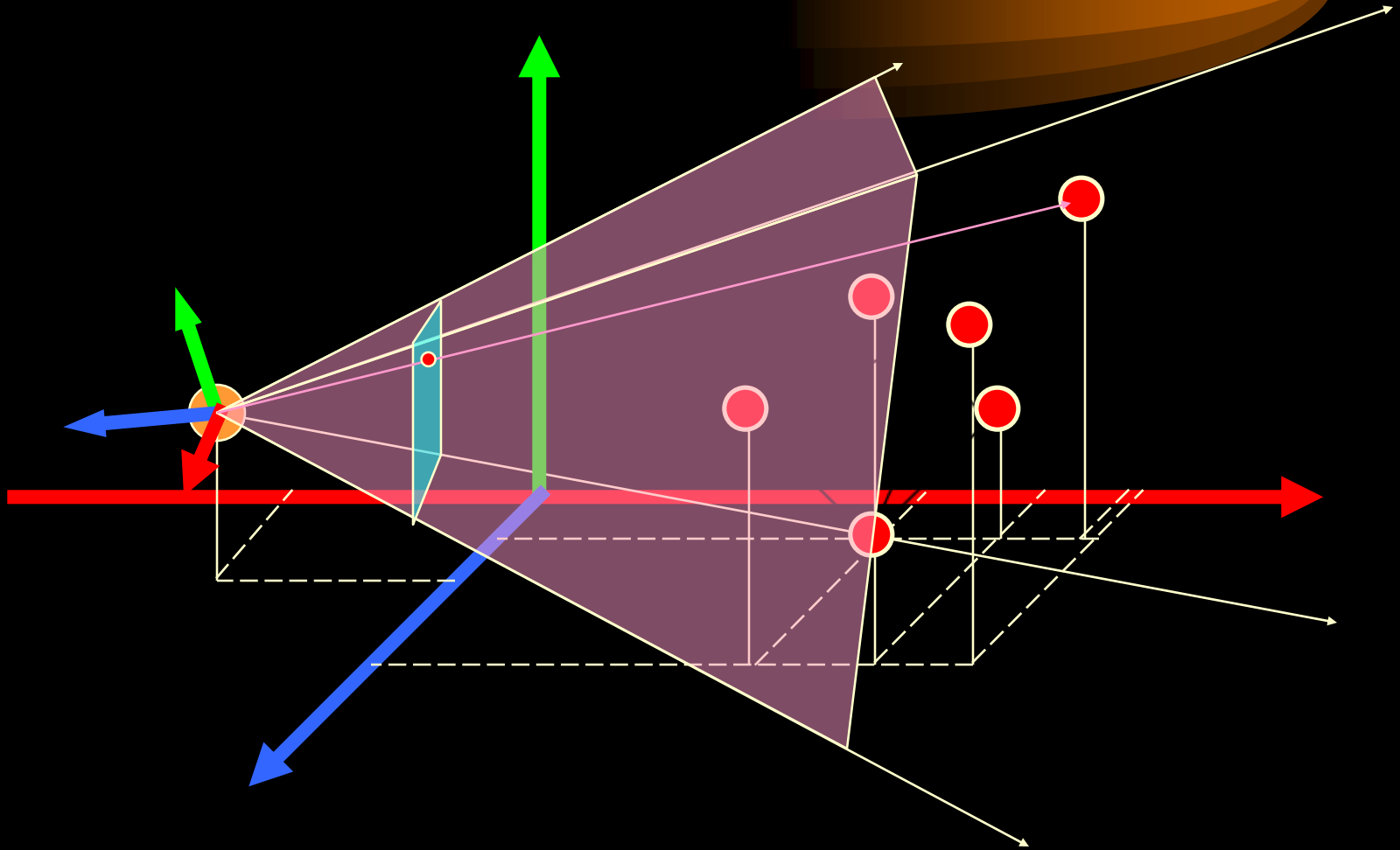
# *Different Phases: Model Definition*



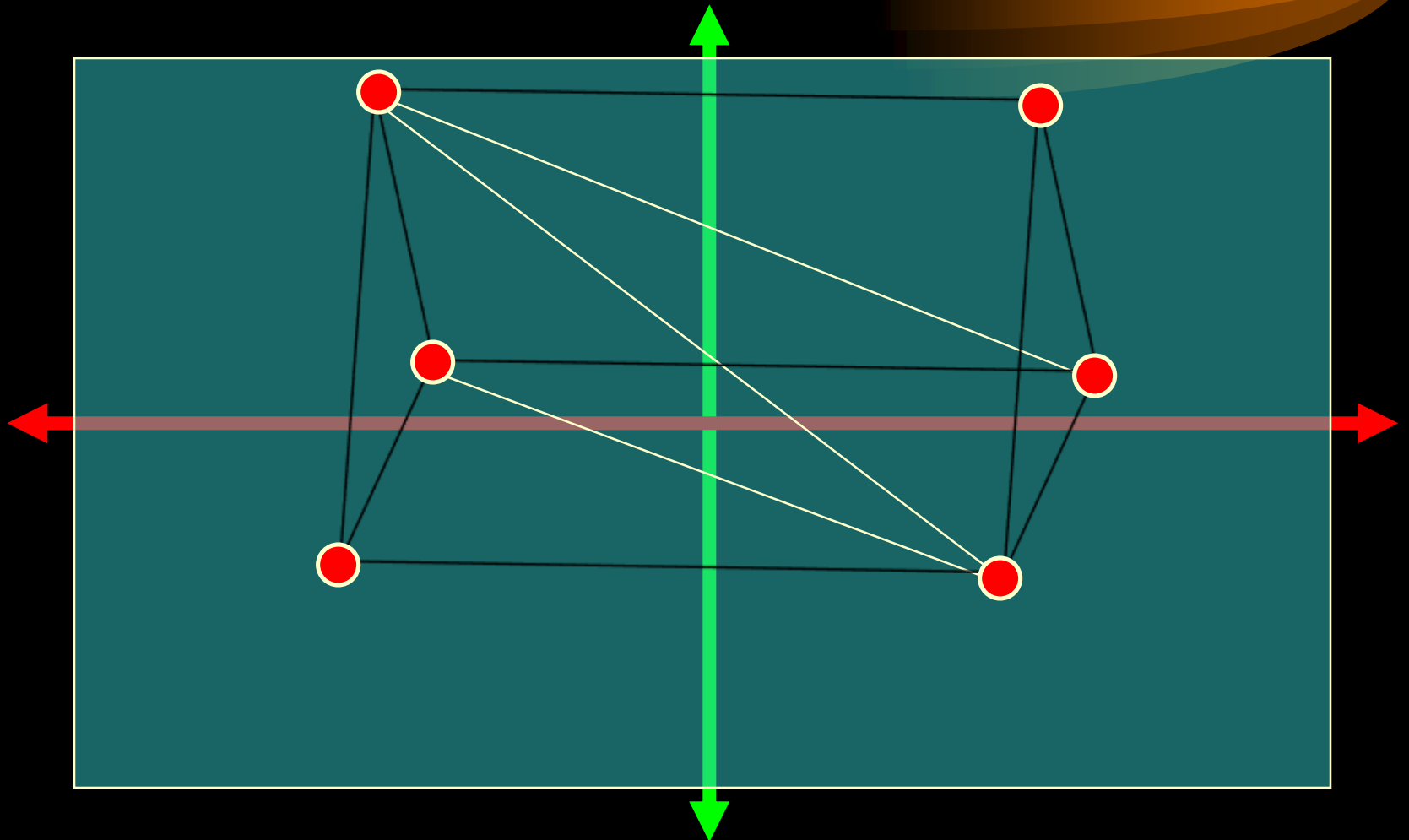
# *Different Phases: Transformations*



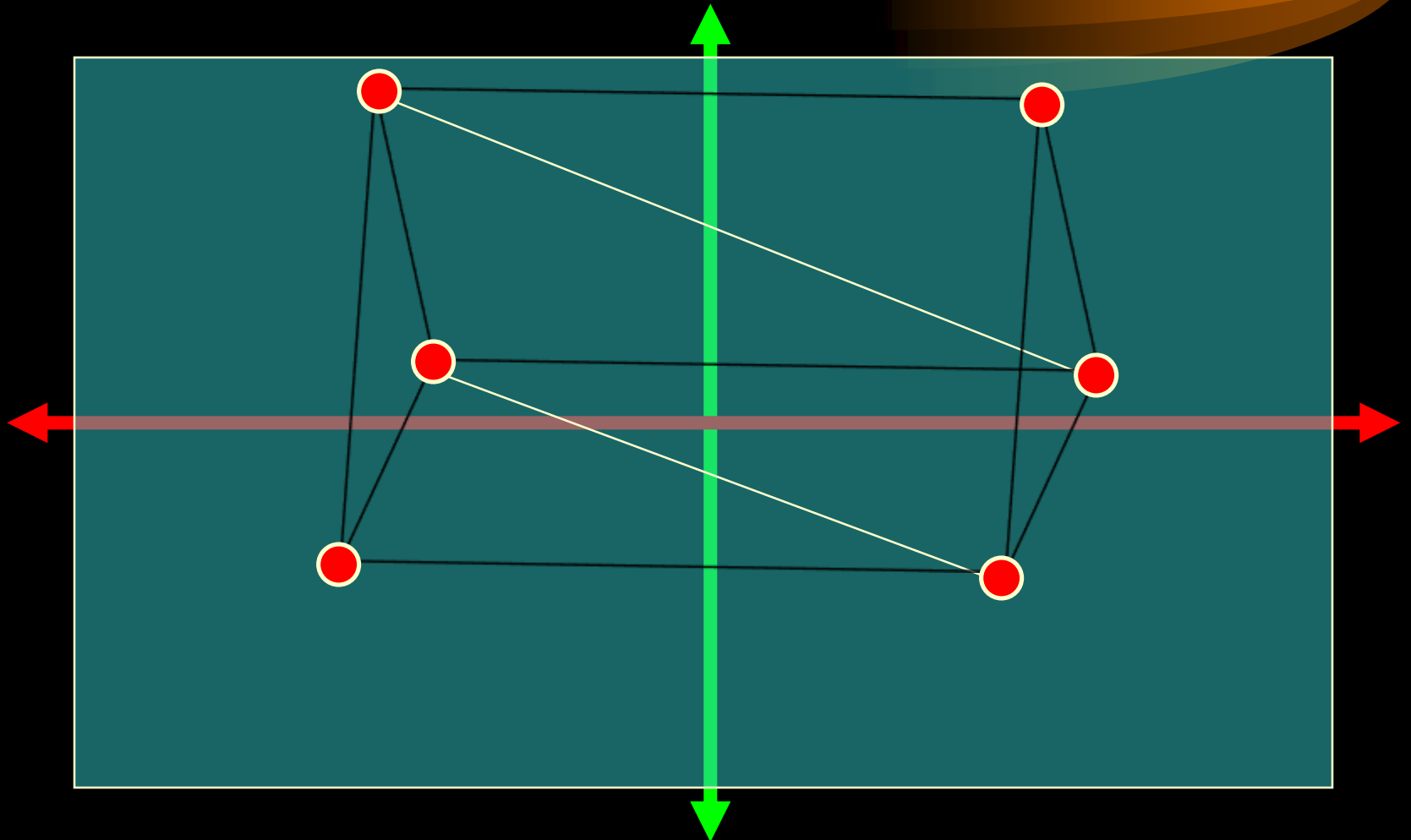
# *Different Phases: Projection*



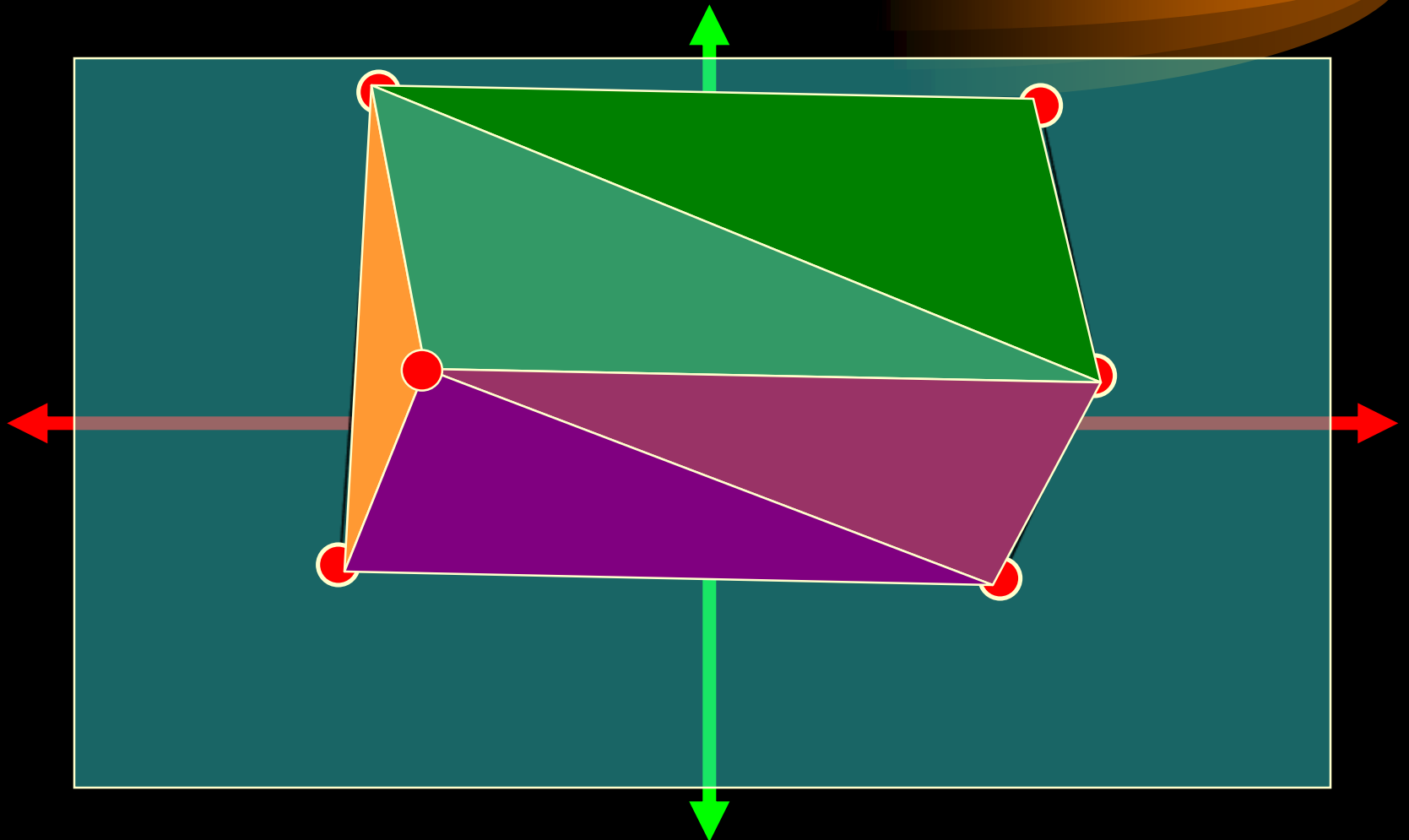
# *Different Phases: Projection*



# *Different Phases: Rasterization*



# *Different Phases: Scan Conversion*



*What are the steps needed?*

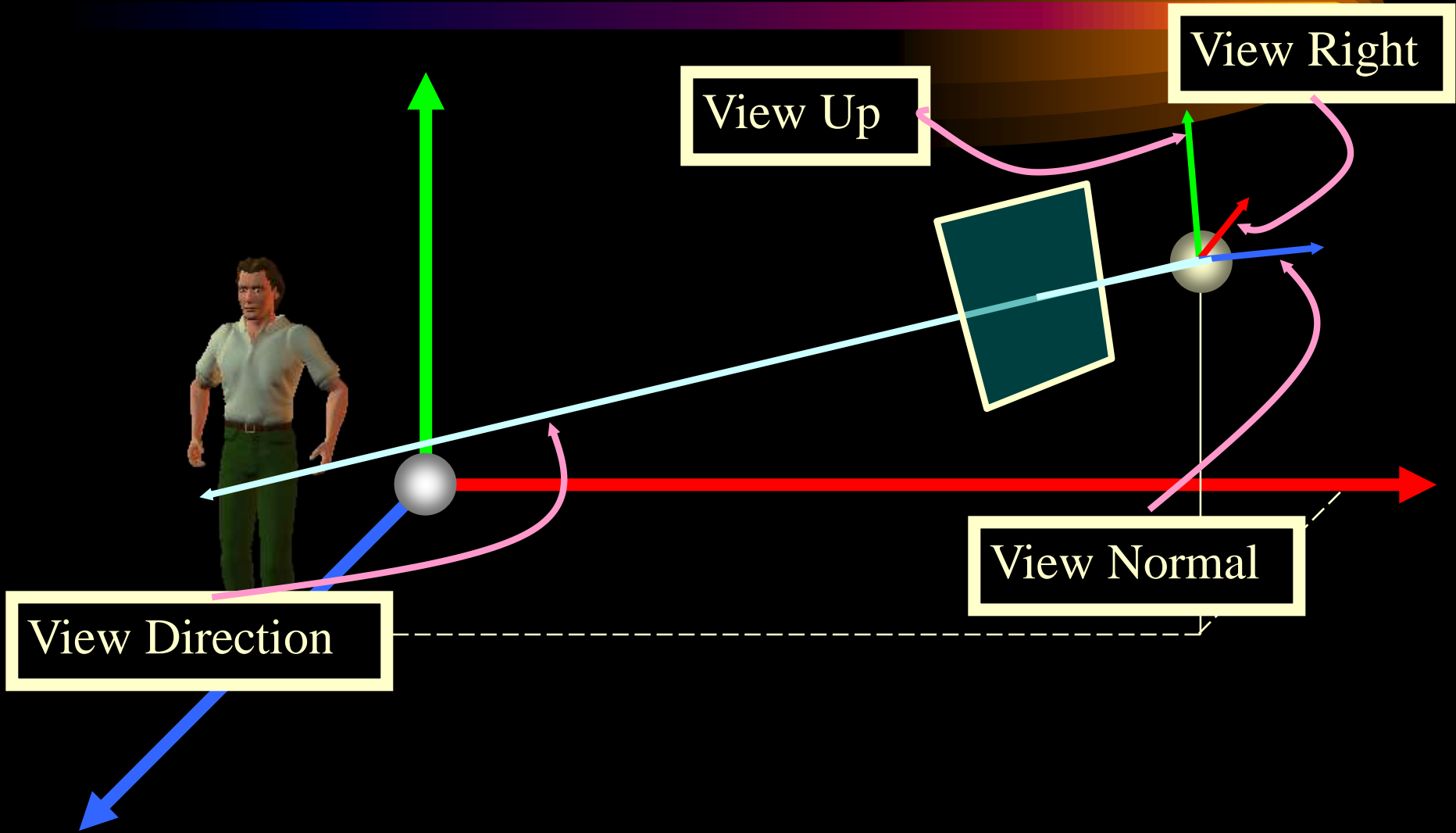




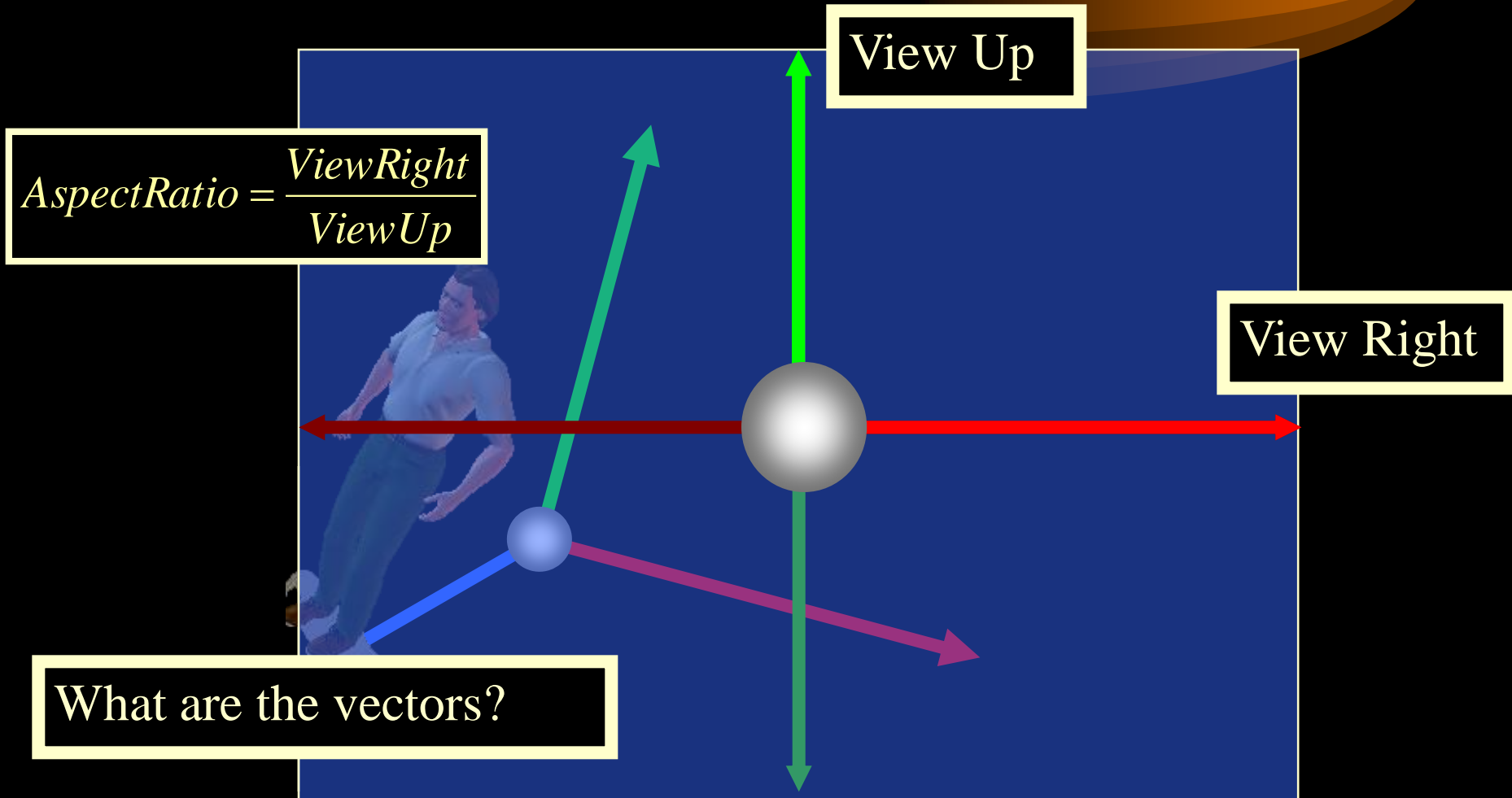
# *Let's Examine the Camera*

- If I gave you a world, and said I want to “render” it from another viewpoint, what information do I have to give you?
  - Position
  - Which way we are looking
  - Which way is “up”
  - Aspect Ratio
  - Field of View
  - Near and Far

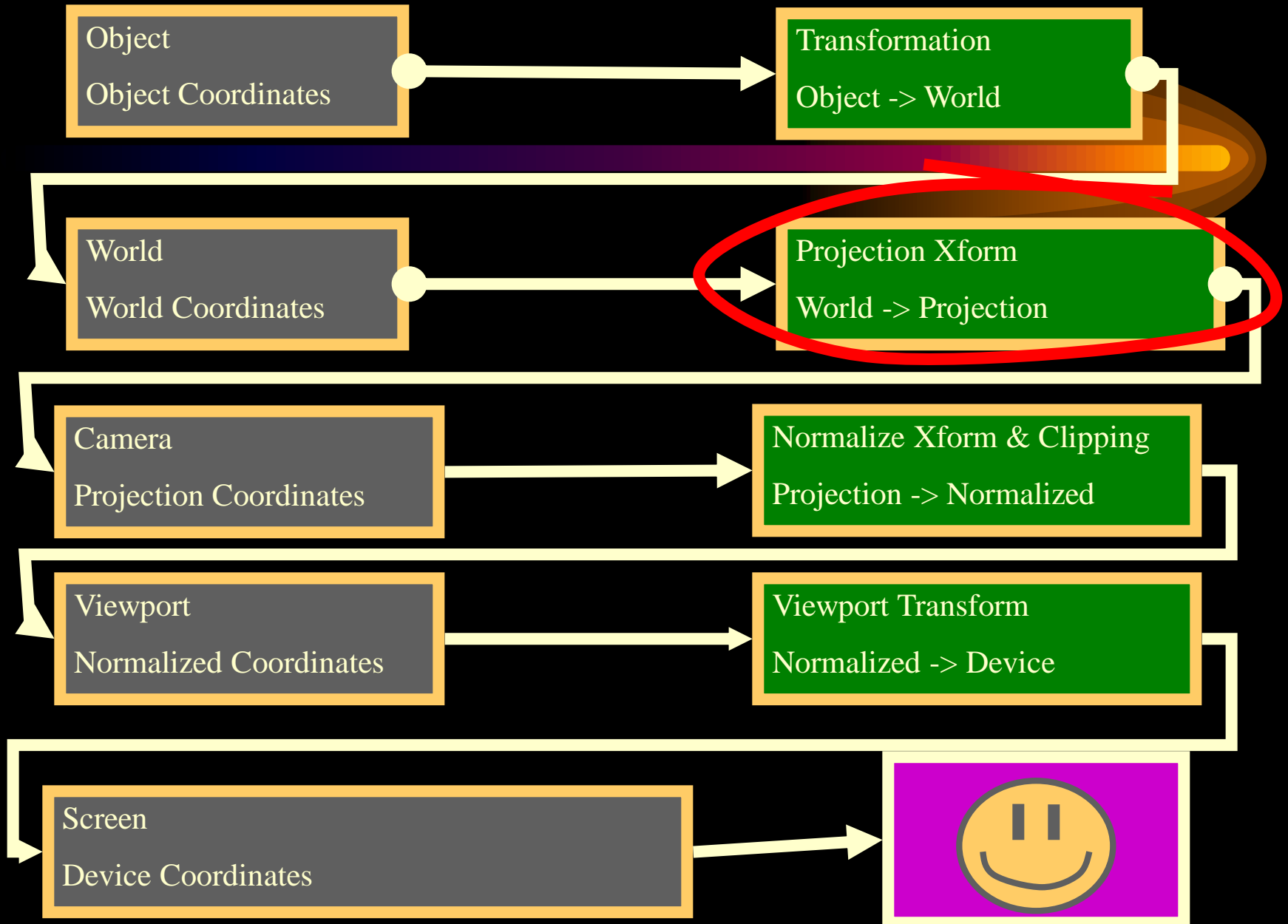
# Camera



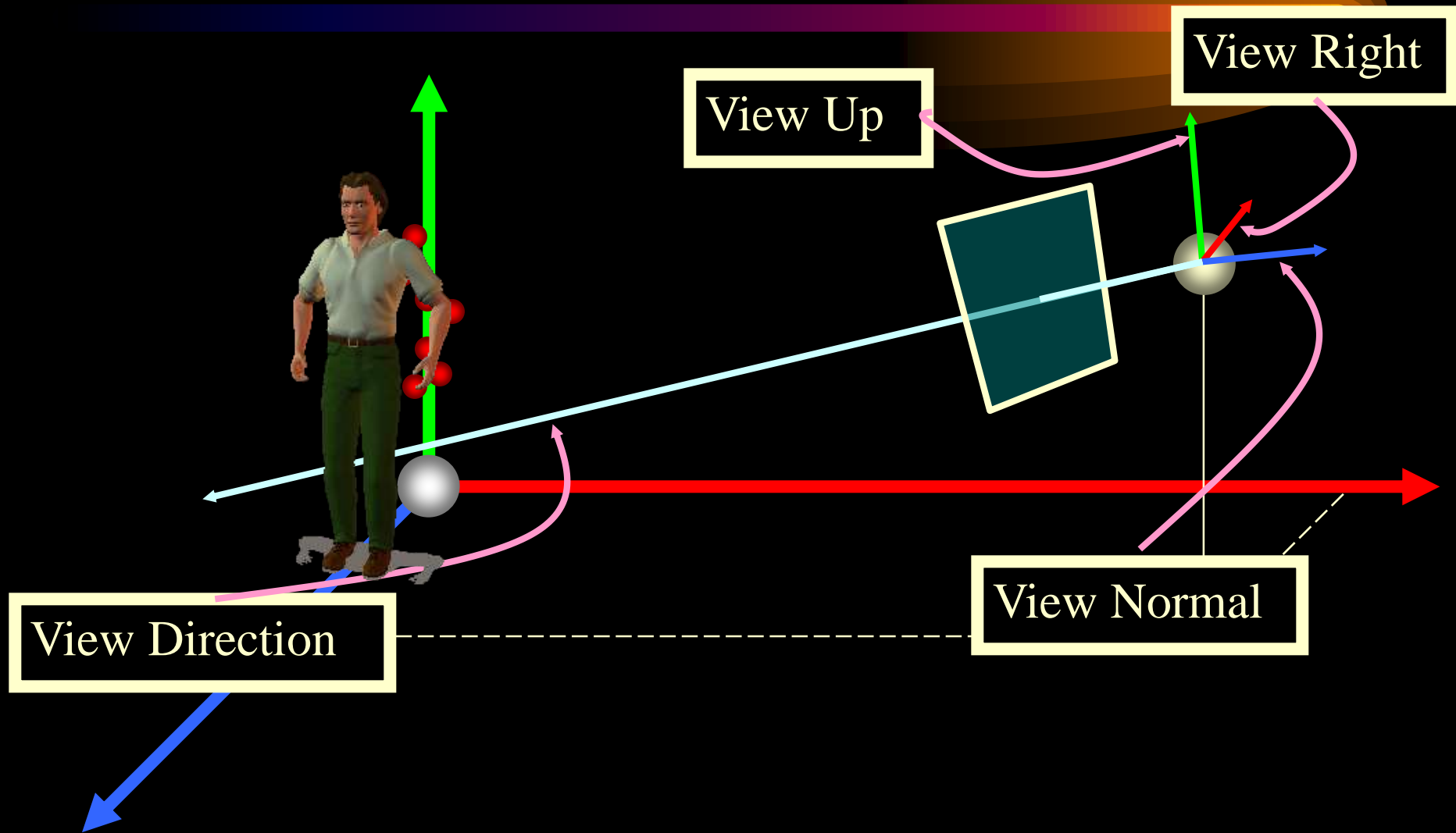
# Camera



# Graphics Pipeline So Far



# *Transformation World- $\rightarrow$ Camera*



# Transformation World- $\rightarrow$ Camera

$$T = \begin{bmatrix} 1 & 0 & 0 & -camera_x \\ 0 & 1 & 0 & -camera_y \\ 0 & 0 & 1 & -camera_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

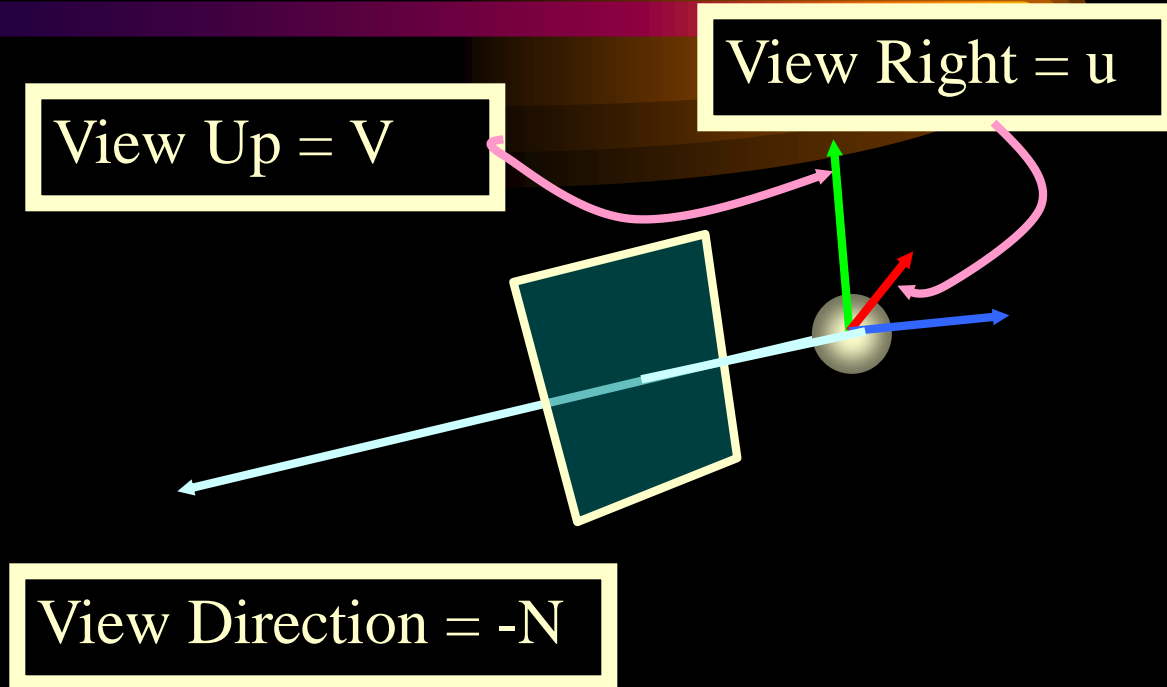
$$n = \frac{N}{|N|} = (n_1, n_2, n_3)$$

$$u = \frac{V \times N}{|V \times N|} = (u_1, u_2, u_3)$$

$$v = n \times u = (v_1, v_2, v_3)$$

$$R = \begin{bmatrix} u_1 & u_2 & u_3 & 0 \\ v_1 & v_2 & v_3 & 0 \\ n_1 & n_2 & n_3 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$M_{WC \rightarrow VC} = R \cdot T$$



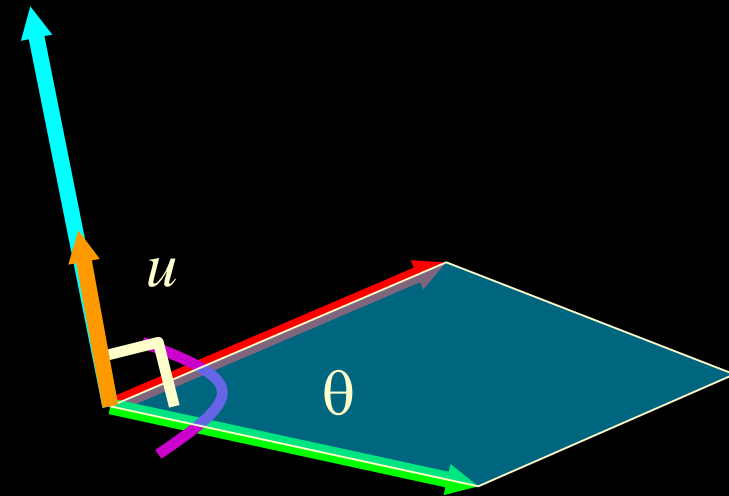
# Cross Products

Given two vectors, the cross product returns a vector that is perpendicular to the plane of the two vectors and with magnitude equal to the area of the parallelogram formed by the two vectors.

$$A \times B = u |A| |B| \sin \theta$$

$$A \times B = (A_y B_z - A_z B_y, A_z B_x - A_x B_z, A_x B_y - A_y B_x)$$

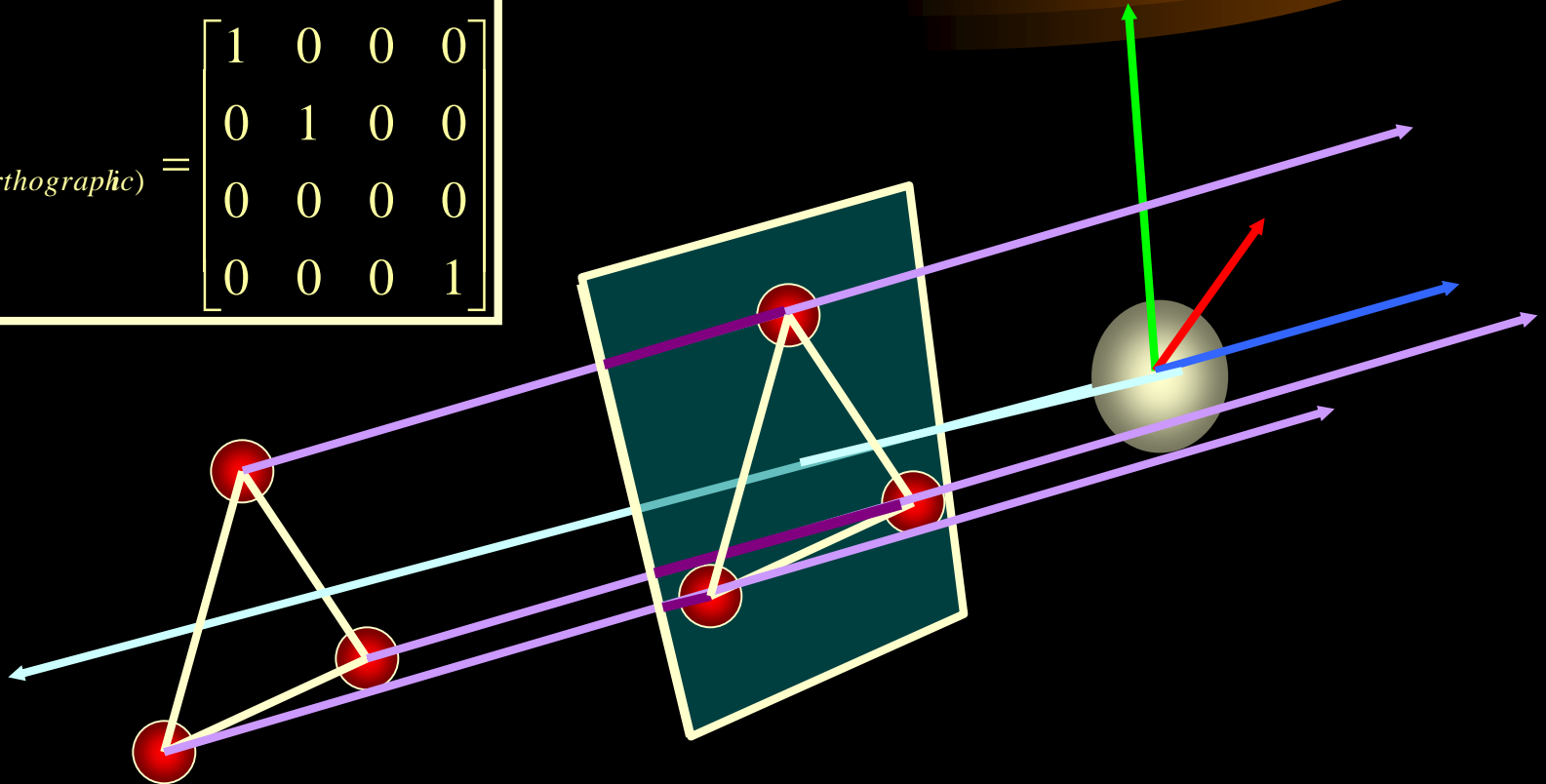
$$A \times B = \begin{bmatrix} u_x & u_y & u_z \\ A_x & A_y & A_z \\ B_x & B_y & B_z \end{bmatrix}$$



# *Parallel Projections (known aliases): Orthographic or Isometric Projection*

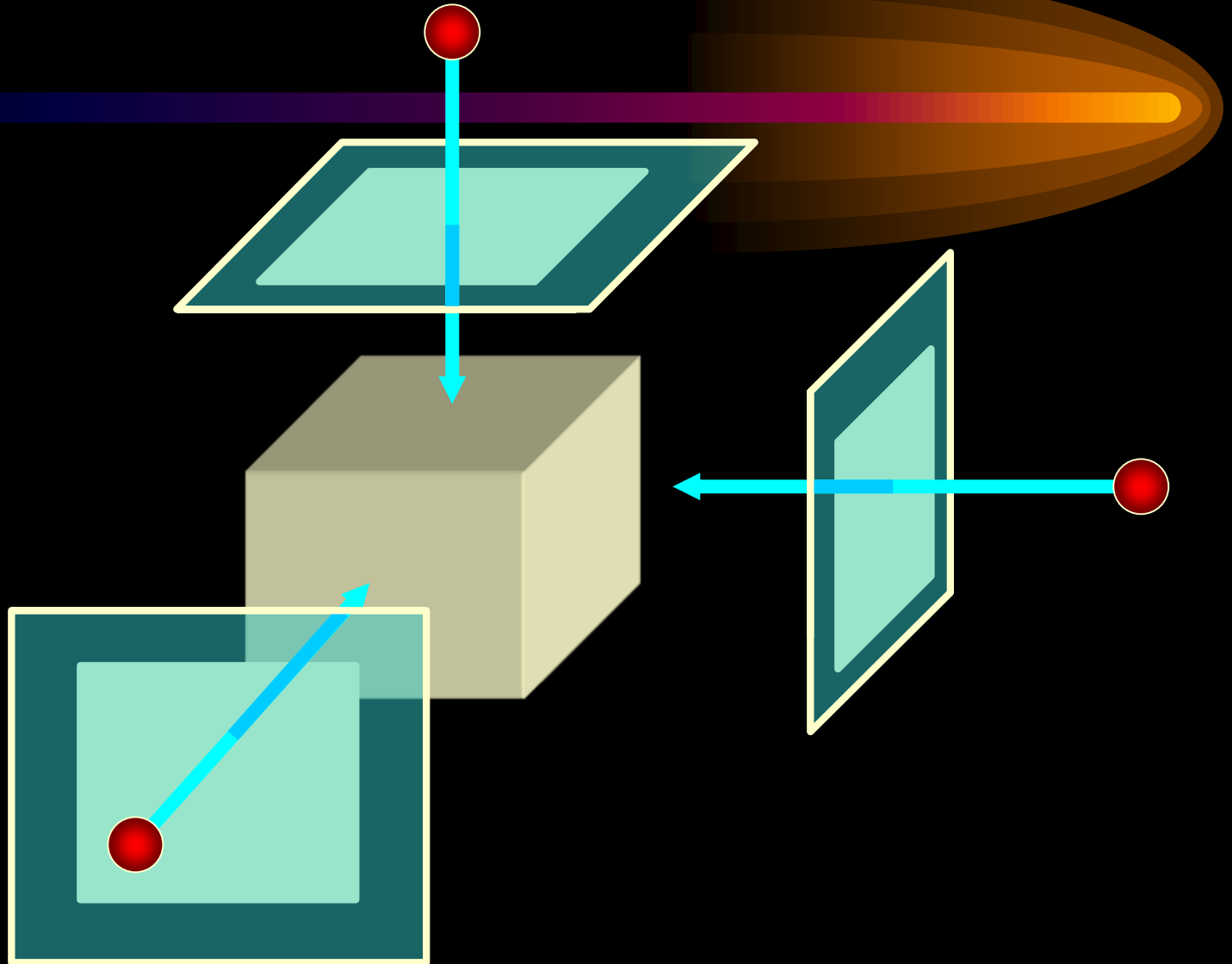
$$V'_P = P_{\text{Parallel}} MV$$

$$P_{\text{Parallel(Orthographic)}} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$





# *Parallel Projection*

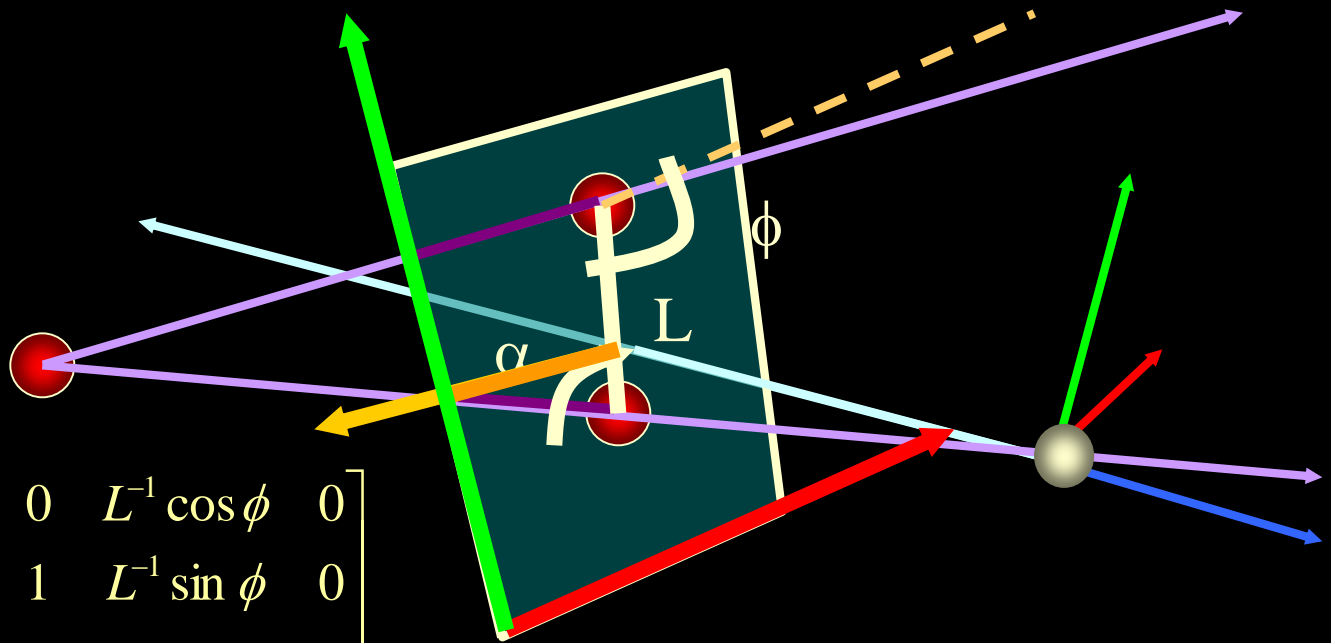


# Parallel Projections (known aliases): Oblique Projection

$$V'_P = P_{Parallel} M V_{VC}$$

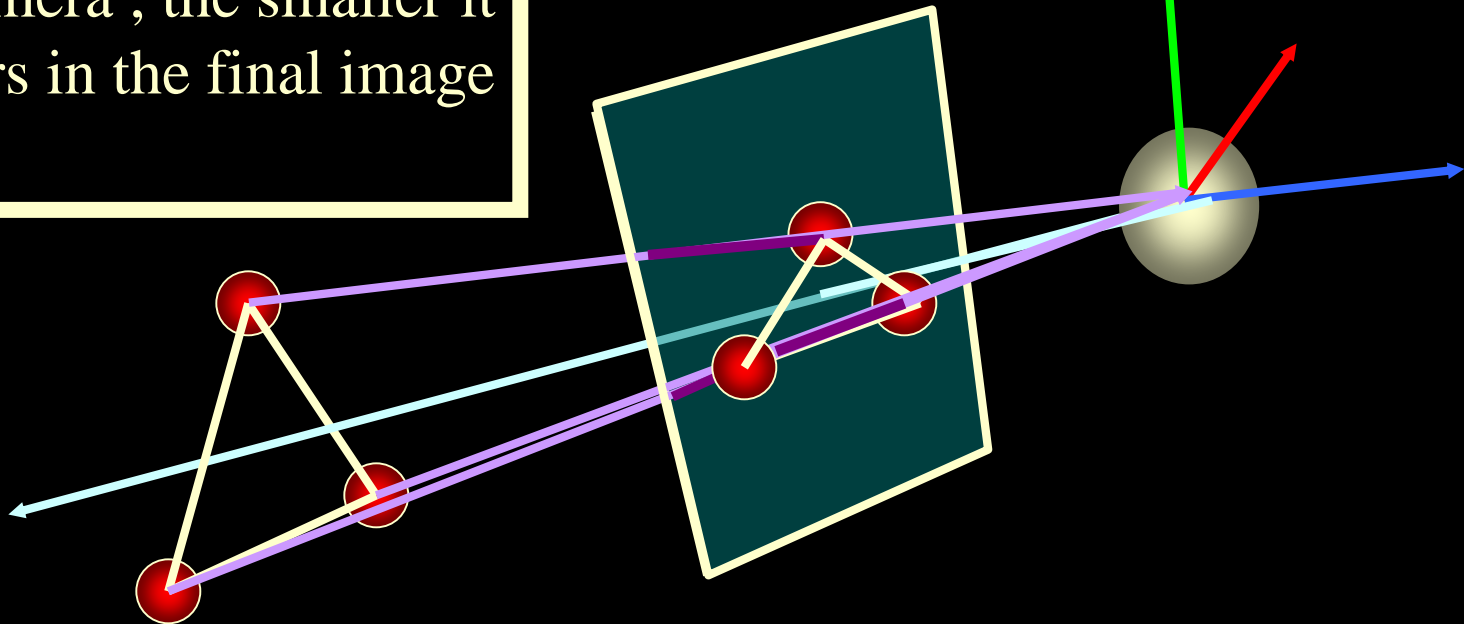
$$L^{-1} = \tan^{-1} \alpha$$

$$P_{Parallel(Oblique)} = \begin{bmatrix} 1 & 0 & L^{-1} \cos \phi & 0 \\ 0 & 1 & L^{-1} \sin \phi & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

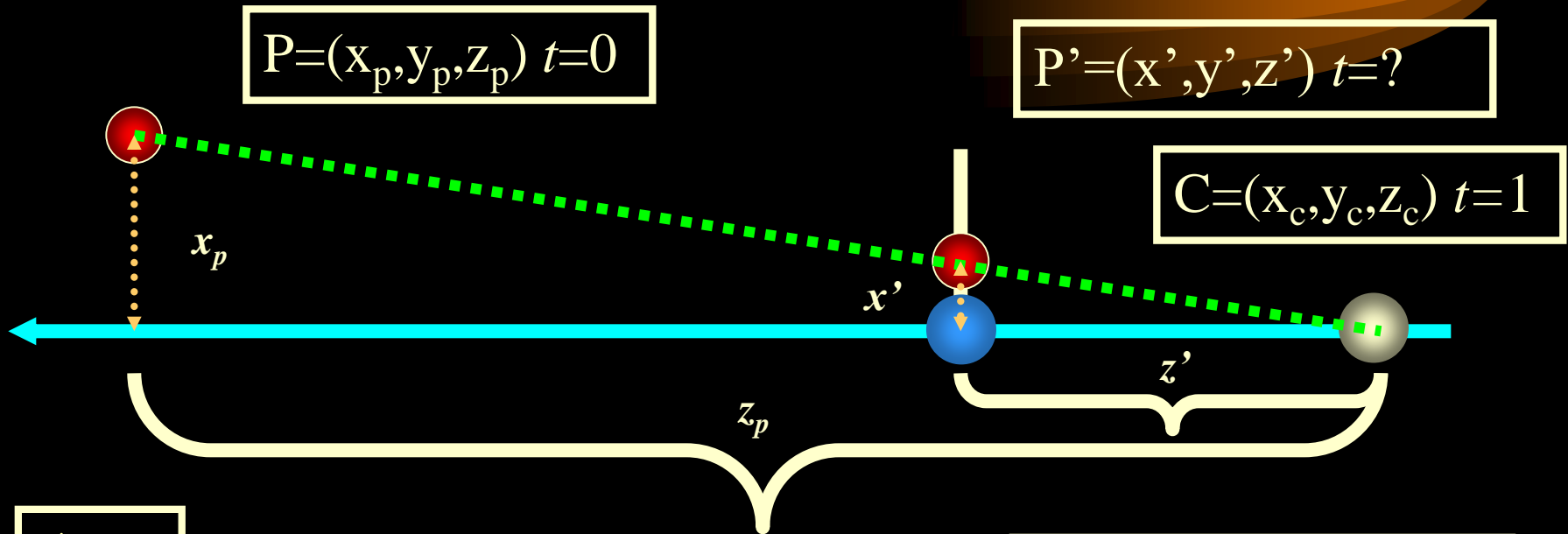


# Projections

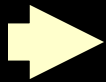
foreshortening - the farther an object is from the camera, the smaller it appears in the final image



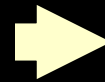
# Perspective Projection Side View



$$\begin{aligned} \frac{x'}{z'} &= \frac{x_p}{z_p} \\ \frac{y'}{z'} &= \frac{y_p}{z_p} \\ z' &= z' \end{aligned}$$

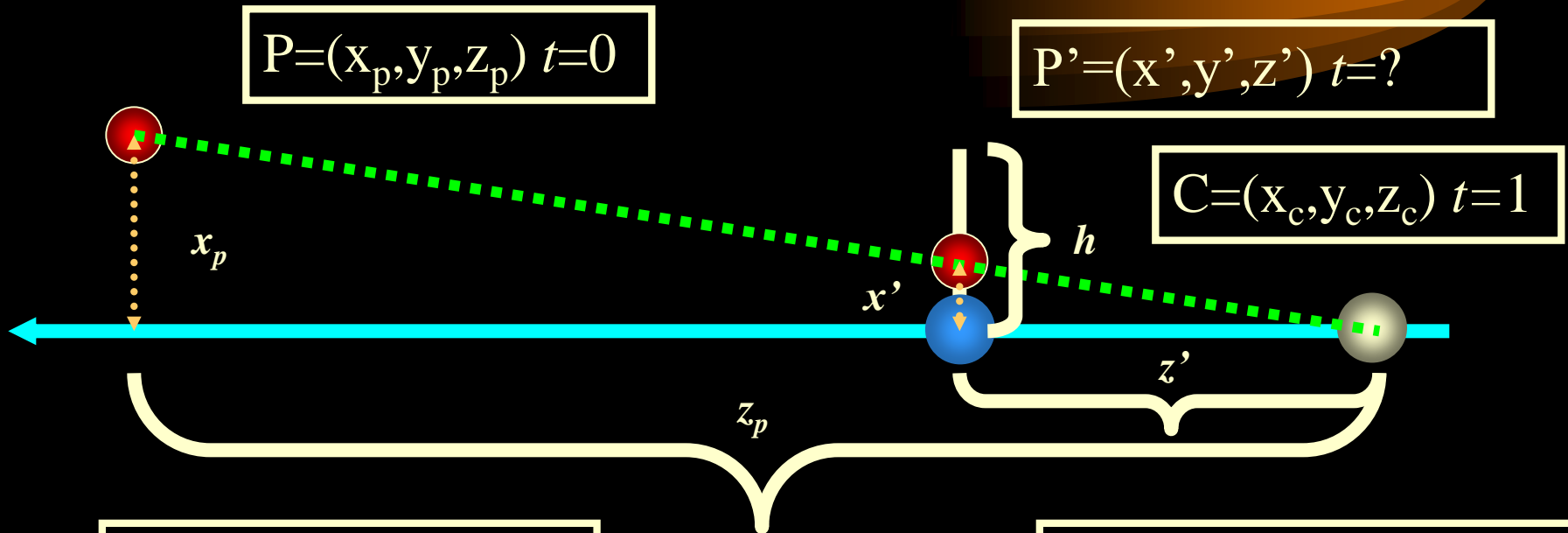


$$w = \frac{z_p}{z'}; \quad \begin{cases} x' = x_p \frac{z'}{z_p} = \frac{x_p}{w} \\ y' = y_p \frac{z'}{z_p} = \frac{y_p}{w} \\ z' = z_p \frac{z'}{z_p} \end{cases}$$

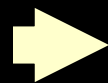


$$\begin{bmatrix} x' \\ y' \\ z' \\ w \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & \frac{1}{z'} & 0 \end{bmatrix} \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}$$

# Perspective Projection Side View



$$w = \frac{z_p}{z'}; \quad \begin{cases} x' = x_p \frac{z'}{z_p} = \frac{x_p}{w} \\ y' = y_p \frac{z'}{z_p} = \frac{y_p}{w} \\ z' = z_p \frac{z'}{z_p} \end{cases}$$



Scale by h

$$w = z_p \quad \begin{cases} x' = x_p \frac{z'}{z_p} = x_p \frac{z'}{hz_p} \\ y' = y_p \frac{z'}{z_p} = y_p \frac{z'}{hz_p} \\ z' = \frac{fz_p}{f - z'} - \frac{z' f}{f - z'} \end{cases}$$

# Perspective Divide

$$\begin{array}{c}
 w = z_p \left( \begin{array}{l}
 x' = x_p \frac{z'}{z_p} = x_p \frac{z'}{hz_p} \\
 y' = y_p \frac{z'}{z_p} = y_p \frac{z'}{hz_p} \\
 z' = \frac{fz_p}{f - z'} - \frac{z' f}{f - z'}
 \end{array} \right)
 \end{array}
 \quad \rightarrow \quad
 \begin{array}{c}
 \begin{bmatrix} x' \\ y' \\ z' \\ w \end{bmatrix} = \begin{bmatrix} \frac{z'}{h_{horizontal}} & 0 & 0 & 0 \\ 0 & \frac{z'}{h_{vertical}} & 0 & 0 \\ 0 & 0 & \frac{far}{far - near} & \frac{-near * far}{far - near} \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_p \\ y_p \\ z_p \\ 1 \end{bmatrix}
 \end{array}$$

Foreshortening - look at the x,y, and w values, and how they depend on how far away the object is.

Modelview Matrix - describes how to move the world->camera coordinate system

Perspective Matrix - describes the camera you are viewing the world with.

*Let's closely examine*

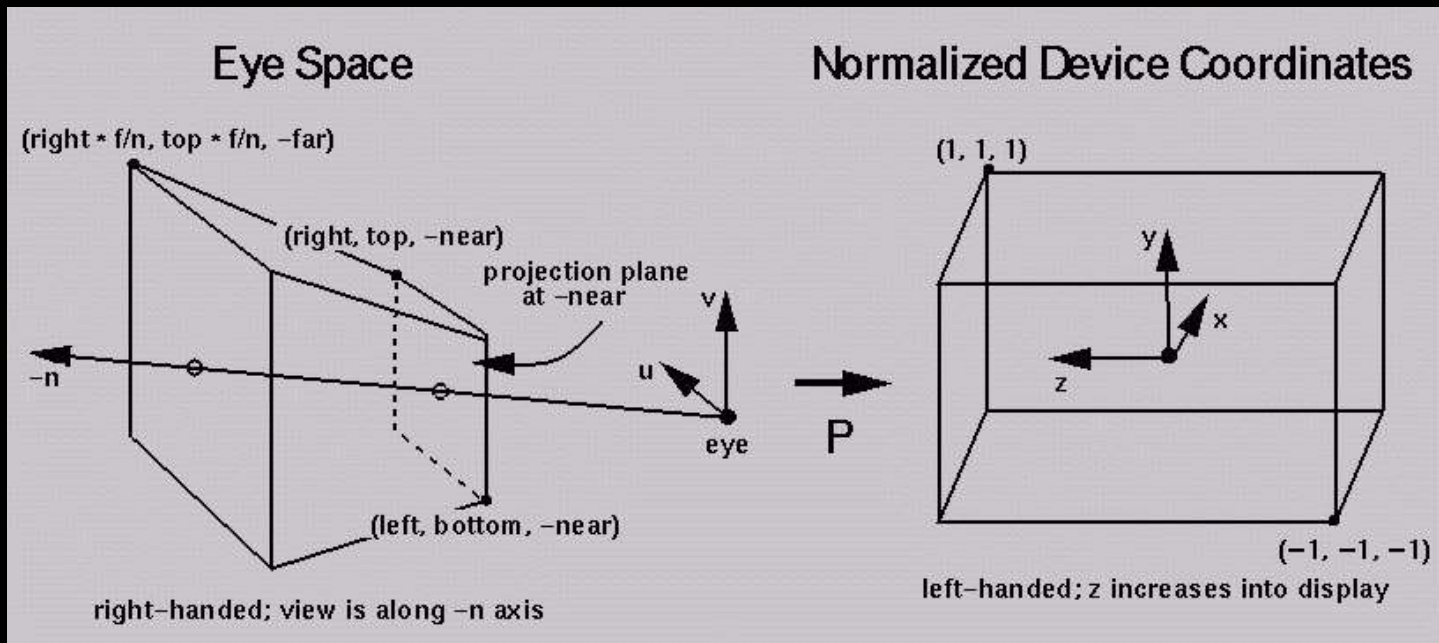
$$P_{\text{Perspective\_Matrix}} = \begin{bmatrix} \frac{z'}{h_{\text{horizontal}}} & 0 & 0 & 0 \\ 0 & \frac{z'}{h_{\text{vertical}}} & 0 & 0 \\ 0 & 0 & \frac{\text{far}}{\text{far} - \text{near}} & \frac{-\text{near} * \text{far}}{\text{far} - \text{near}} \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

$$\frac{z'}{h_{\text{horizontal}}} = \frac{2 * z'}{x_{\text{max}} - x_{\text{min}}}$$

$$\frac{z'}{h_{\text{vertical}}} = \frac{2 * z'}{y_{\text{max}} - y_{\text{min}}}$$

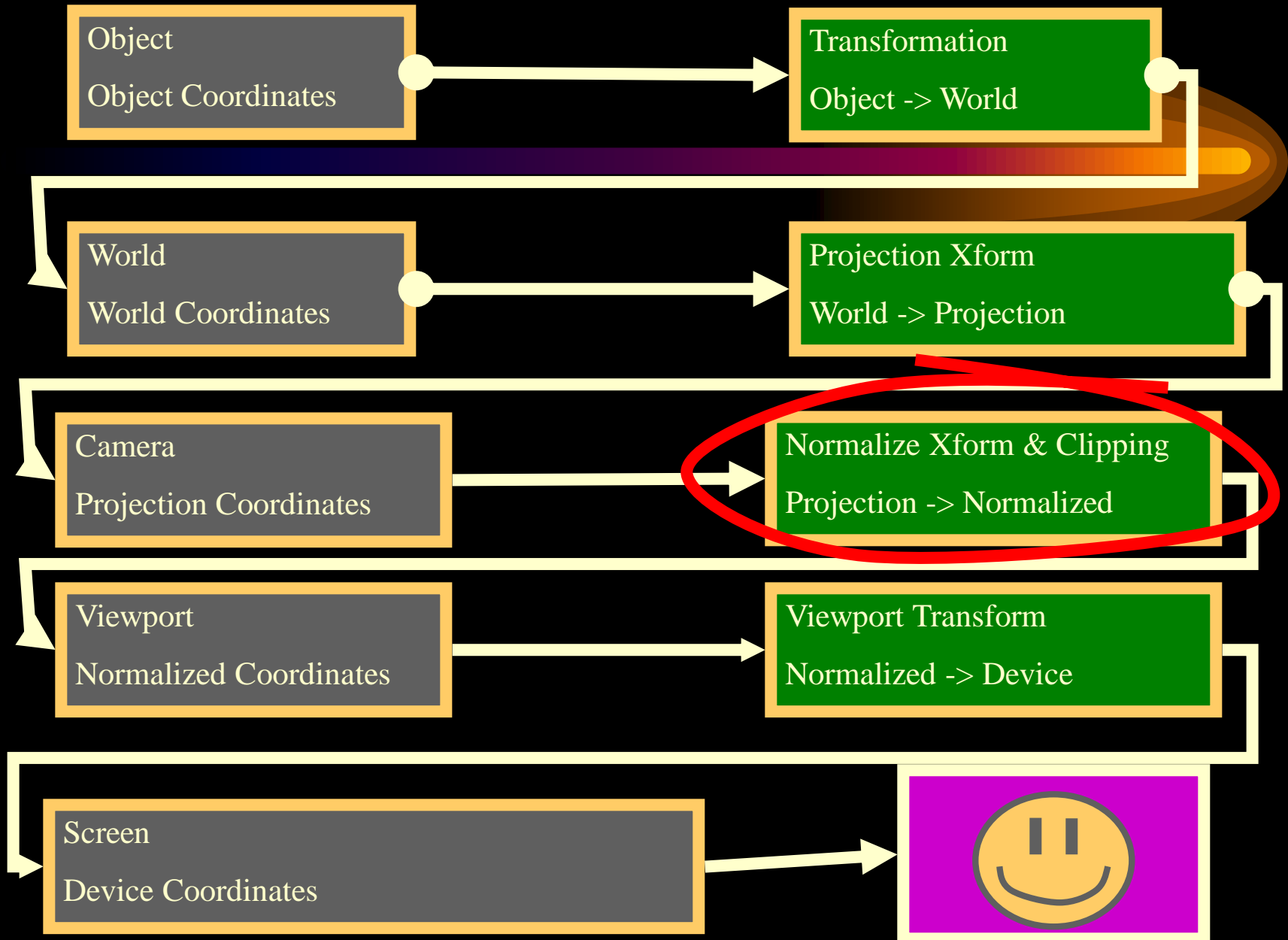
# What the Perspective Matrix means

Note: Normalized Device Coordinates are a LEFT-HANDED Coordinate system

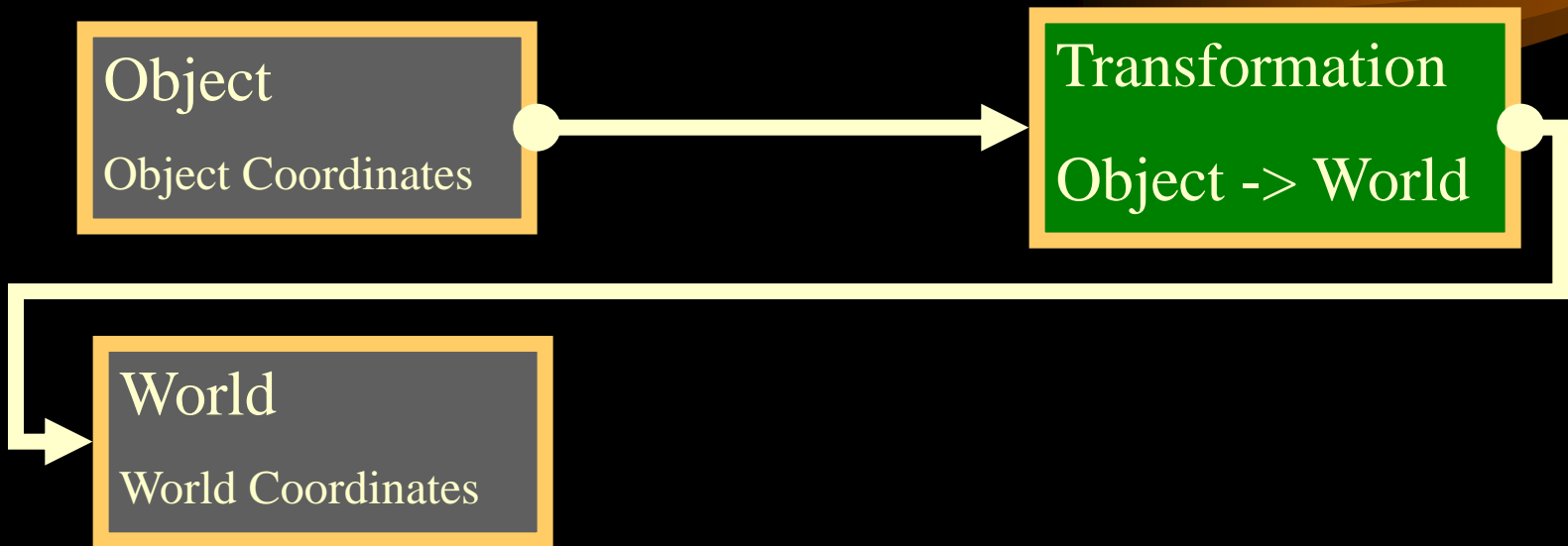




# Graphics Pipeline So Far

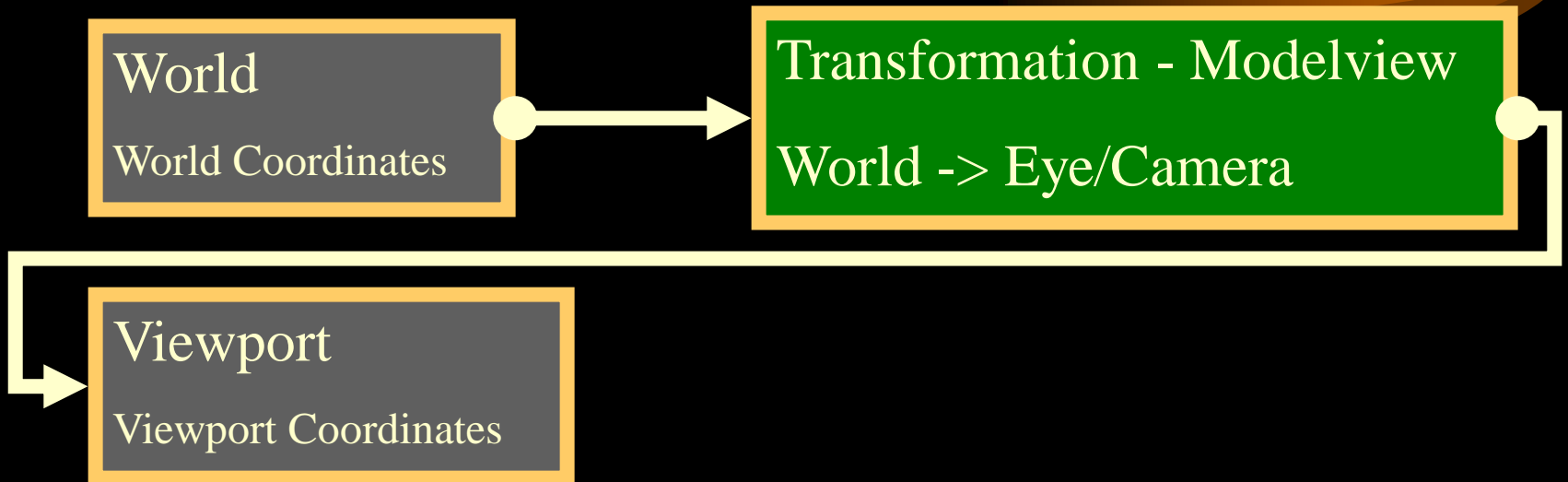


# What happens to an object...



$$\begin{bmatrix} x_{world\_coordinates} \\ y_{world\_coordinates} \\ z_{world\_coordinates} \\ 1 \end{bmatrix} = M_{Object \rightarrow World} \begin{bmatrix} x_{object\_coordinates} \\ y_{object\_coordinates} \\ z_{object\_coordinates} \\ 1 \end{bmatrix}$$

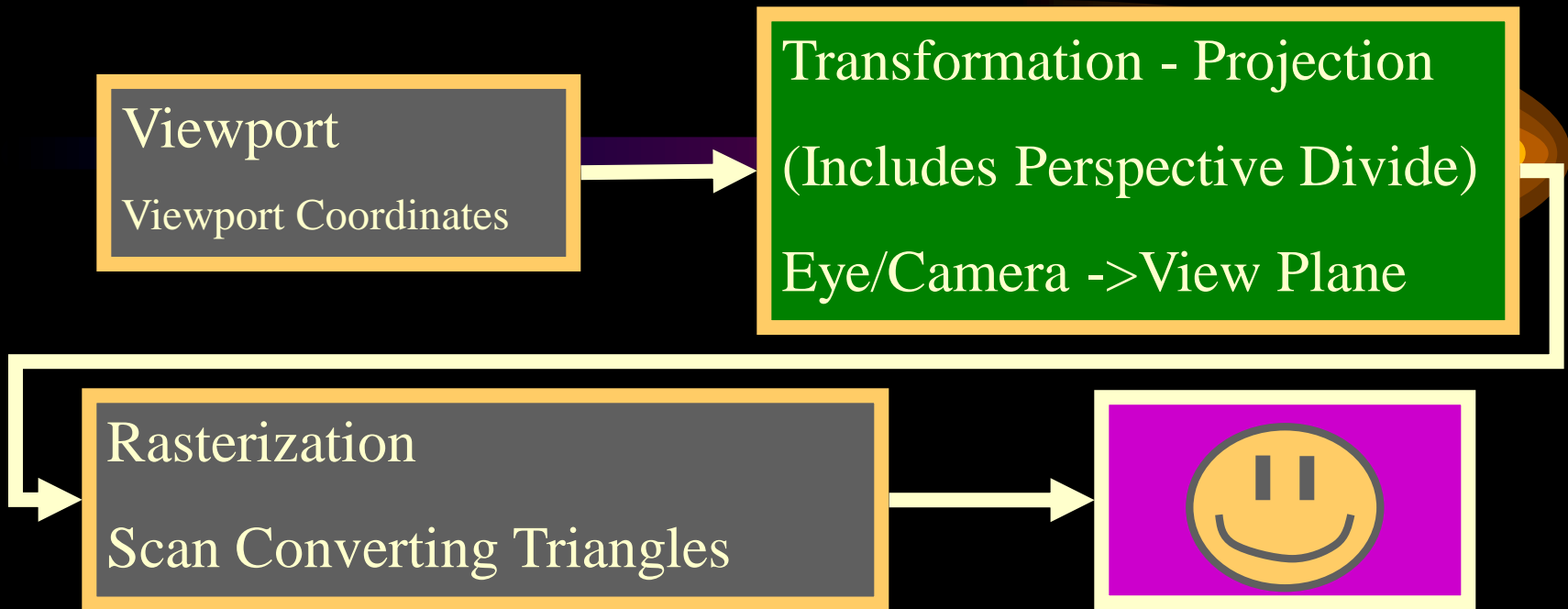
# What happens to an object...



$$\begin{bmatrix} x_{view\_coordinates} \\ y_{view\_coordinates} \\ z_{view\_coordinates} \\ 1 \end{bmatrix} = M_{World \rightarrow View} \begin{bmatrix} x_{world\_coordinates} \\ y_{world\_coordinates} \\ z_{world\_coordinates} \\ 1 \end{bmatrix}$$

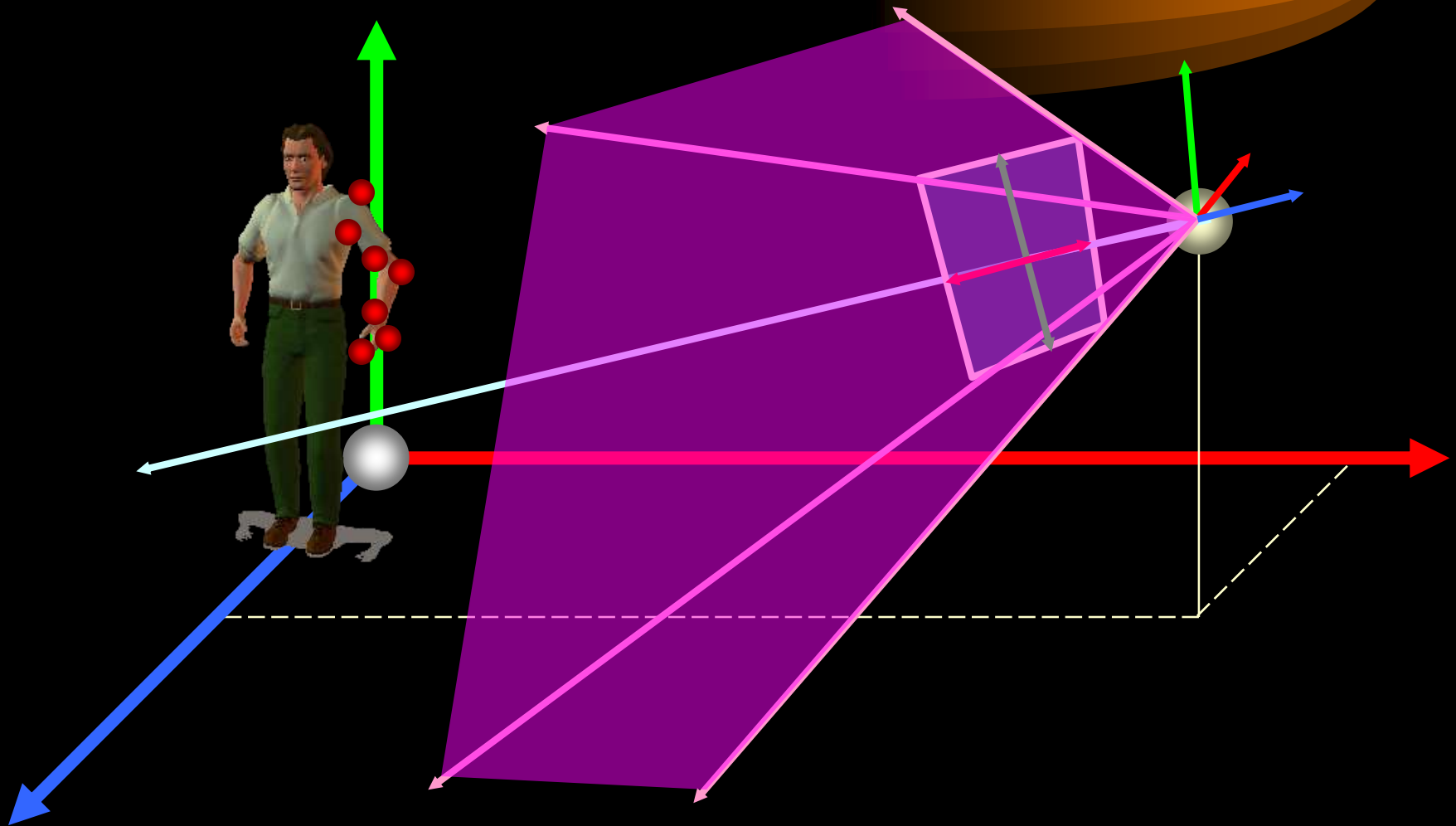
$M_{World \rightarrow View} = M_{Modelview}$

# What happens to an object...



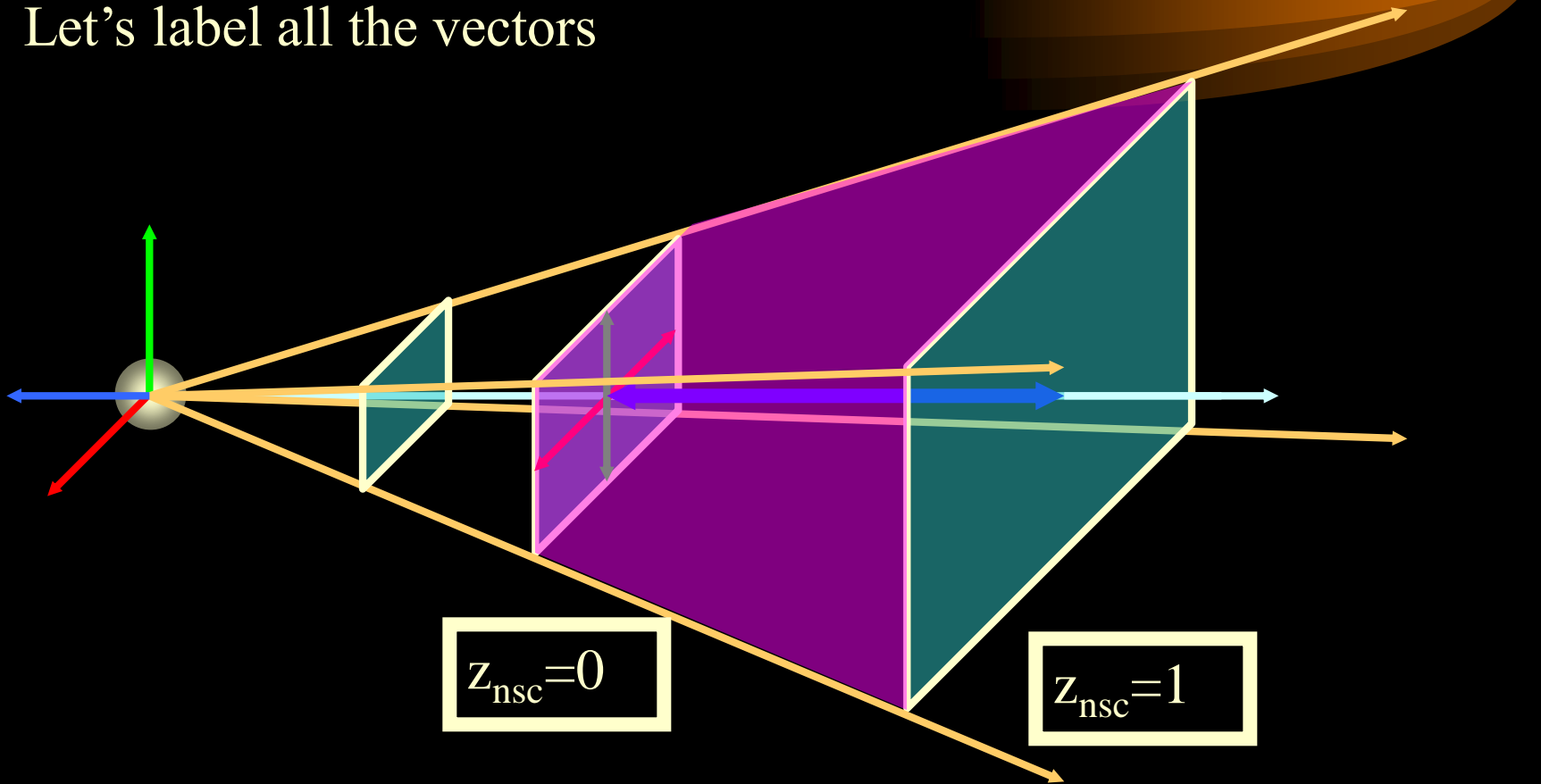
$$\begin{bmatrix} x'_{normalized\_screen\_coordinates} \\ y'_{normalized\_screen\_coordinates} \\ z'_{normalized\_screen\_coordinates} \\ 1 \end{bmatrix} = M_{View \rightarrow Screen} \begin{bmatrix} x'_{view\_coordinates} \\ y'_{view\_coordinates} \\ z'_{view\_coordinates} \\ 1 \end{bmatrix}$$
$$M_{View \rightarrow Screen} = M_{Parallel\_Projection}$$
$$M_{View \rightarrow Screen} = M_{Perspective\_Projection}$$

# *Normalized Screen Coordinates*



# Normalized Screen Coordinates

Let's label all the vectors

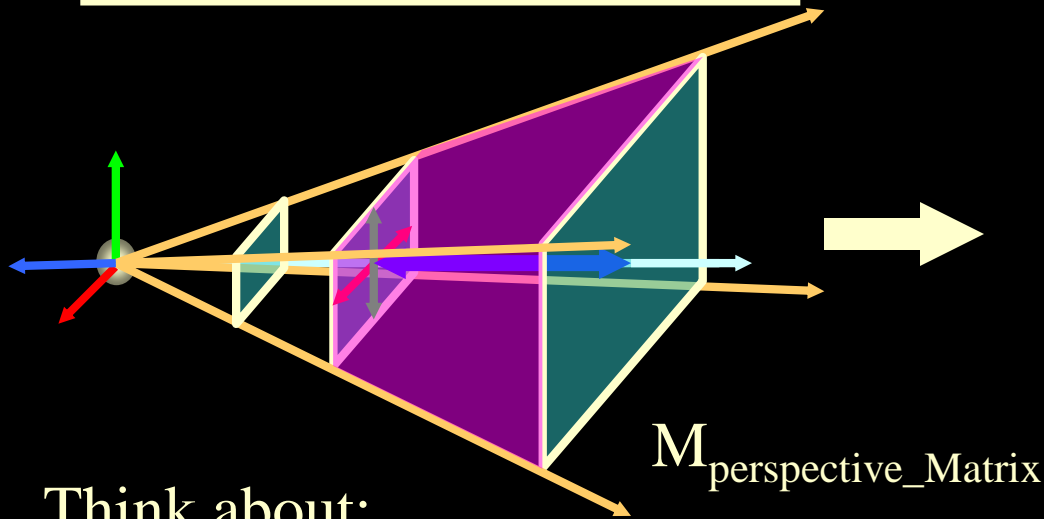


# View Volume (View Frustum)

Usually:

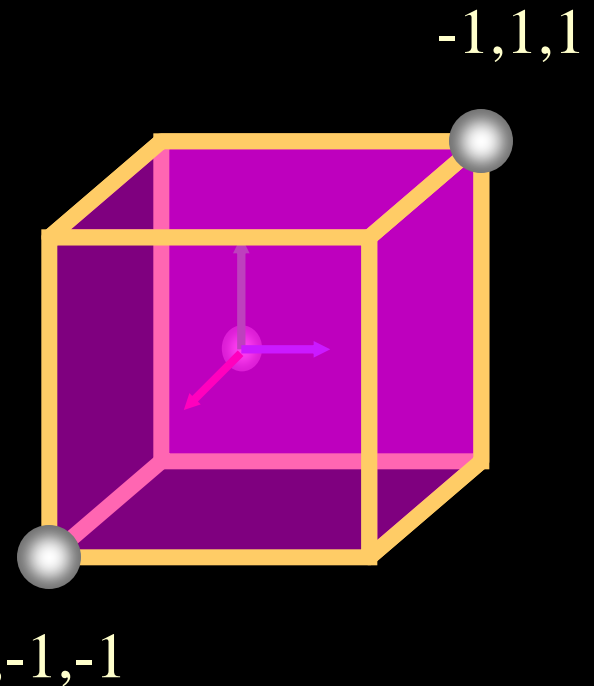
View Plane = Near Plane

Far Plane

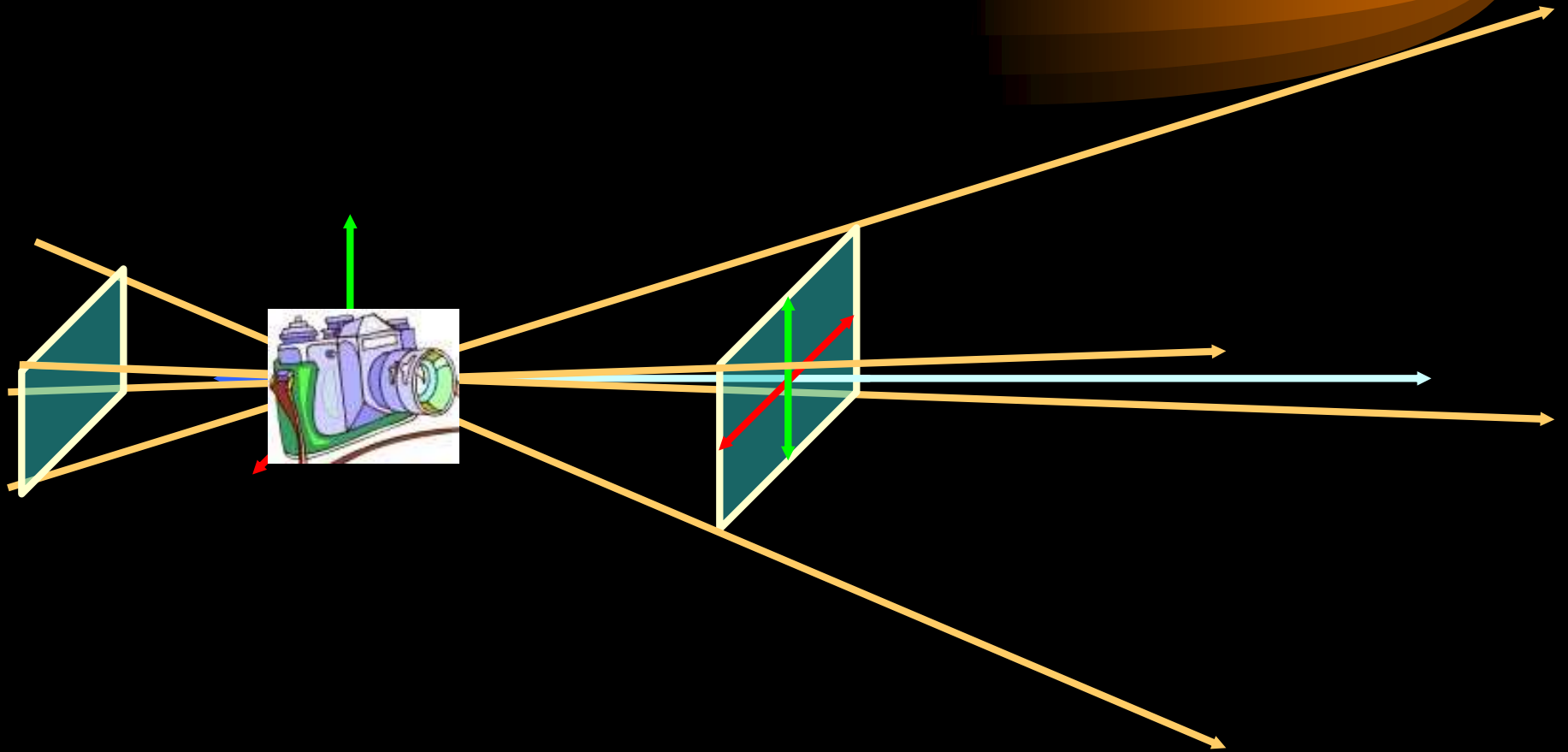


Think about:

Clipping 3D Triangles  
View Frustum Culling



# *Comparison with a camera*





# *Let's verbalize what's going on*



## Review:

- Pipeline
- Series of steps
- What we'll do next:
  - Hidden Surface Removal
  - Depth Buffers
  - Lighting
  - Shading
  - Blending (the elusive alpha)
  - Textures